# A Study on Wideband/Multiband Analog Front-end Components for Wireless Communication Systems 

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## Contents

Abstract ..... 1
1 General Introduction ..... 3
1.1 Background of This Study ..... 3
1.2 Purpose and Position of This Study ..... 4
1.2.1 Microwave Switches ..... 6
1.2.2 Dipole Antennas ..... 7
1.2.3 Radio-on-Fiber (RoF) Links ..... 9
1.3 Outline of the Dissertation ..... 13
1.4 References ..... 16
2 Multiband PIN Diode Switch Employing Ladder Circuits ..... 20
2.1 Introduction ..... 20
2.2 Circuit Configuration and Design Method ..... 22
2.2.1 Circuit Configuration with Ladder Circuit ..... 22
2.2.2 Bandwidth Design Method ..... 23
2.3 Experimental Results ..... 25
2.3.1 Switch with Lumped Elements Construction ..... 25
2.3.2 Switch with Semi-Microstrip Configuration and Variation of Triple-Band Characteristics ..... 28
2.3.3 Switching Speed, Distortion, and Temperature Characteristics ..... 31
2.3.4 Study on Higher Isolation Switch ..... 33
2.4 Conclusion ..... 35
2.5 References ..... 35
3 Wideband Folded Dipole Antenna ..... 38
3.1 Introduction ..... 38
3.2 Folded Loop Antenna ..... 39
3.2.1 Antenna Structure ..... 39
3.2.2 Impedance Characteristics ..... 39
3.2.3 Radiation Pattern ..... 40
3.3 Folded Dipole Antenna ..... 43
3.3.1 Antenna Structure ..... 43
3.3.2 Impedance Characteristics ..... 45
3.3.3 Radiation Pattern ..... 51
3.3.4 Current Distribution and Studies on Wideband Mechanizm ..... 52
3.4 Conclusion ..... 55
3.5 References ..... 55
4 Predistortion Type Equi-Path Linearizer for Radio-on-Fiber (RoF) System ..... 58
4.1 Introduction ..... 58
4.2 Circuit Configuration and Design Method ..... 59
4.2.1 Circuit Configuration of the Linearizer ..... 59
4.2.2 Calculation of Power Levels ..... 60
4.3 Experimental Results ..... 62
4.4 Phase Delay of IM3 components ..... 68
4.5 Conclusion ..... 72
4.6 References ..... 73
5 Distortion Reduction Filters for Radio-on-Fiber (RoF) System ..... 75
5.1 Introduction ..... 75
5.2 Circuit Configuration and Design Method ..... 76
5.2.1 RoF Link with Optical Comb Filter ..... 76
5.2.2 RoF Link with RF Comb Filter ..... 79
5.2.3 RoF Link with RF-DBRF ..... 80
5.3 Experimental Results ..... 80
5.3.1 RoF Link with Optical Comb Filter ..... 80
5.3.2 RoF Link with RF Comb Filter ..... 84
5.3.3 RoF Link with RF-DBRF ..... 90
5.4 Conclusion ..... 90
5.5 References ..... 91
6 Wideband Balun for Radio-on-Fiber (RoF) System with Dual-Drive Mach-Zehnder Modulator ..... 93
6.1 Introduction ..... 93
6.2 Circuit Configuration ..... 96
6.3 Experimental Results ..... 96
6.3.1 RoF Link employing UTC-PD ..... 97
6.3.2 RoF Link employing PIN-PD ..... 102
6.4 Optimization of Received Light Power at Photo Diode ..... 102
6.4.1 RoF Link employing UTC-PD ..... 102
6.4.2 RoF Link employing PIN-PD ..... 104
6.5 Conclusion ..... 106
6.6 References ..... 106
7 Conclusions ..... 108
7.1 Overall Conclusions ..... 108
7.2 Conclusions from Chapter 2 ..... 108
7.3 Conclusions from Chapter 3 ..... 109
7.4 Conclusions from Chapter 4 ..... 109
7.5 Conclusions from Chapter 5 ..... 110
7.6 Conclusions from Chapter 6 ..... 110
7.7 Future analog front-end components ..... 111
Acknowledgments ..... 112
A List of Papers by Author ..... 113
A. 1 Transaction Papers ..... 113
A. 2 Other Related Papers ..... 113
A. 3 International Conferences ..... 114
A. 4 Technical Reports and Other Presentations (in Japanese) ..... 115
A. 5 Patents ..... 117

## List of Figures

1.1 Example of system block diagram for radio-on-fiber wireless commu- nication systems ..... 4
1.2 Circuit configuration and measured $S_{21}$ of SPST pin diode switch in on/off states, (a) without compensating inductor and (b) with com- pensating inductor. ..... 6
1.3 Half-wavelength dipole antennas; (a) construction, (b) simulated VSWRs for various w values. ..... 8
1.4 Folded loop antenna for handsets; (a) construction, (b) measured and simulated VSWRs with balanced feed, (c) measured and simulated VSWRs with unbalanced feed. ..... 8
1.5 Configuration of radio-on-fiber (RoF) system ..... 10
1.6 Circuit configuration (a) feedforward technique and (b) diode predis- tortion technique applied to radio-on-fiber (RoF) system. ..... 11
1.7 Rat-race coupler balun; (a) circuit configuration and (b) measured results. ..... 13
1.8 Outline of the dissertation. ..... 14
1.9 Comparison between chapters of this dissertation and the papers by the author. ..... 15
2.1 Triple-band automobile communication system. ..... 21
2.2 Circuit configuration of proposed SPDT switch for three frequency- bands. ..... 22
2.3 The ladder circuit for general N-parallel resonance frequencies. ..... 22
2.4 Calculated $S_{21}$ vs. frequency of ladder circuits for three parallel reso- nance frequencies. ..... 24
2.5 Bandwidth with 10 dB isolation at $1.6,2.5$ and 5.8 GHz frequency bands. ..... 24
2.6 Triple-band SPDT switch with lumped elements construction. ..... 26
2.7 Measured and simulated (a) $S_{21}$ and (b) $S_{22}$ of lumped elements triple- band SPDT switch. ..... 27
2.8 Layout pattern of triple-band SPDT switch with (a) all microstrip construction and (b) semi-microstrip construction. ..... 29
2.9 Triple-band SPDT switch with semi-microstrip construction. ..... 29
2.10 Measured variation characteristics of $S_{21}$ of triple-band SPDT switch with lumped elements construction at (a) 1.6 , (b) 2.5 , and (c) 5.8 GHz (10 samples) ..... 30
2.11 Measured variation characteristics of triple-band SPDT switch with semi-microstrip construction for (a) $S_{21}$ at 1.6 GHz , (b) $S_{21}$ at 2.5 GHz , (c) $S_{21}$ at 5.8 GHz , (d) $S_{22}$ at 1.6 GHz , (e) $S_{22}$ at 2.5 GHz , and (f) $S_{22}$ at 5.8 GHz ( 10 samples) ..... 30
2.12 Measured switching speed characteristics at 5.8 GHz band of (a) semi- microstrip triple-band switch and (b) standard single band switch. ..... 32
2.13 Measured carrier and IM3 components at 5.8 GHz band of semi-microstrip triple-band switch and standard single band switch. ..... 32
2.14 Measured temperature characteristics of $S_{21}$ of triple-band SPDT switch with semi-microstirp construction at (a) 1.6 , (b) 2.5 , and (c) 5.8 GHz . ..... 33
2.15 Circuit configuration of dual band SPST switch employing (a) one pin diode and (b) two pin diodes. ..... 34
2.16 Measured $S_{21}$ of dual band SPST switch employing one and two pin diodes. ..... 34
3.1 Antenna structure of a folded loop antenna. ..... 40
3.2 Simulated input impedances of a folded loop antenna with various parameter values ..... 41
3.3 Simulated bandwidth of a folded loop antenna when $w_{2}, h$ and $s$ are changed. ..... 42
3.4 Measured and simulated VSWR of a folded loop antenna. ..... 43
3.5 Measured and simulated radiation pattern of a folded loop antenna. ..... 44
3.6 Simulated $S_{11}$ of folded loop antenna with various ..... 45
3.7 Antenna structure of the planer folded dipole antenna. ..... 46
3.8 Simulated input impedance characteristics of a planar folded dipole antenna with the variation of (a) $w_{2}\left(w_{1}=4 \mathrm{~mm}, d=2 \mathrm{~mm}, e=2\right.$ mm ), (b) $w_{1}\left(w_{2}=32 \mathrm{~mm}, d=2 \mathrm{~mm}, e=2 \mathrm{~mm}\right)$, (c) $e\left(w_{2}=32\right.$ $\left.\mathrm{mm}, w_{1}=4 \mathrm{~mm}, d=2 \mathrm{~mm}\right)$ and (d) $d\left(w_{2}=32 \mathrm{~mm}, w_{1}=4 \mathrm{~mm}, e\right.$ $=2 \mathrm{~mm})$. ..... 47
3.9 Simulated bandwidth (in \%) of a planar folded dipole antenna with the variation of $w_{2}$ and $e\left(w_{1}=4 \mathrm{~mm}, d=2 \mathrm{~mm}\right)$ ..... 48
3.10 Simulated bandwidth (in \%) of a planar folded dipole antenna with the variation of $w_{2}$ and $w_{1}$ (a) $e=2 \mathrm{~mm}$, (b) $e=8 \mathrm{~mm}$, and (c) $e=$ 16 mm ( $d=2 \mathrm{~mm}$ for all). ..... 49
3.11 An antenna structure with a ground plane for the impedance measure- ment (balanced feed). ..... 50
3.12 Measured and simulated VSWR of a planar folded dipole antenna. ..... 50
3.13 Measured and simulated radiation patterns of a planar folded dipole antenna at (a) 1.25 GHz , (b) 1.65 GHz , and (c) 2.10 GHz . ..... 52
3.14 Simulated current distribution on a planar folded dipole antenna. ..... 53
3.15 Decomposition of a planar folded dipole antenna to (a) construction A and (b) construction B, and (c) their simulated VSWRs ..... 54
4.1 Circuit configuration of PTEP linearizer with RoF link. ..... 60
4.2 Calculated power of predistorted IM3 components at the output of the RoF link ( $I_{3 P D O}$ ) and IM3 component power at the output of the RoF link $\left(I_{3 L}\right)$ versus the attenuation value of Attenuator-1 and Attenuator- $2(A)$ for (a) various OIP3 of Amplifier-1 and Amplifier-2 $\left(O_{S A}\right)$ and (b) various gain of the RoF link $\left(G_{L}\right)$. ..... 61
4.3 Fabricated PTEP linearizer with RoF link employing Fabry-Perot LD. ..... 63
4.4 Measured and calculated carrier and IM3 component of RoF link em- ploying PTEP linearizer and Fabry-Perot LD in the 2140 MHz band. ..... 64
4.5 Measured C/N and IM3 characteristics versus the optical modulation index (OMI) with and without the linearizer. ..... 64
4.6 IM3 component versus frequency with and without the linearizer (in- put power $=-17 \mathrm{dBm}$, frequency separation $\Delta f=5 \mathrm{MHz}$ ). ..... 65
4.7 Measured carrier power and adjacent channel power of W-CDMA mod- ulated signal through the RoF link with PTEP linearizer and Fabry- Perot LD. ..... 65
4.8 Measured spectra of W-CDMA modulated signal through the RoF link (a) without PTEP linearizer and (b) with PTEP linearizer. ..... 66
4.9 Measured temperature characteristics of the carrier and IM3 compo- nent of the RoF link with PTEP linearizer and Fabry-Perot LD. ..... 66
4.10 Measured and calculated carrier and IM3 component of RoF link em- ploying PTEP linearizer and DFB-LD in the 2140 MHz band. ..... 67
4.11 Measured carrier and IM3 component of RoF link employing PTEP linearizer and Fabry-Perot LD versus phase difference between PS-1 and PS-2 in the (a) 830 MHz band and (b) 2370 MHz band. ..... 69
4.12 Measured optimum phase difference between PS-1 and PS-2 for Fabry- Perot LD versus RF frequency. ..... 69
4.13 Measured optimum phase difference between PS-1 and PS-2 for Fabry- Perot LD versus frequency separation $\Delta f$. ..... 70
4.14 Measured phase difference between PS-1 and PS-2 for maximizing im- provement in IM3 components for Fabry-Perot LD versus input power. ..... 70
4.15 Measured phase difference between PS-1 and PS-2 for maximizing im- provement in IM3 components for Fabry-Perot LD versus input power. 71
4.16 Measured optimum phase difference between PS-1 and PS-2 for DFB- LD versus RF frequency. ..... 71
5.1 Circuit configuration of the RoF link employing (a) optical comb filter and (b) RF comb filter. ..... 77
5.2 Circuit configuration of the RoF link with RF-DBRF. ..... 79
5.3 Fabricated RF-DBRF. ..... 79
5.4 Measured carrier and IM3 component of RoF link employing optical comb filter ( $\Delta f=5 \mathrm{MHz}$ ) ..... 81
5.5 Measured output spectra of RoF link employing optical comb filter when RIN is (a) maximum and (b) minimum ( $\Delta f=5 \mathrm{MHz}$ ). ..... 81
5.6 Measured carrier and ACP of RoF link employing the optical comb filter (W-CDMA modulated, $\Delta f=5 \mathrm{MHz}$ ). ..... 82
5.7 Measured output spectra of RoF link, (a) without the comb filter and (b) with the comb filter (W-CDMA modulated, $\Delta f=5 \mathrm{MHz}$ ). ..... 82
5.8 Measured S21 of RoF link employing optical comb filter for (a) $\Delta f=$ 5 MHz and (b) $\Delta f=100 \mathrm{MHz}$ with temperature variation. ..... 83
5.9 Measured S21 of RoF link employing RF comb filter for (a) $\Delta f=5$ MHz and (b) $\Delta f=100 \mathrm{MHz}$ with temperature variation. ..... 85
5.10 Measured carrier and ACP of RoF link employing the RF comb filter ( $\Delta f=5 \mathrm{MHz}$, W-CDMA modulated). ..... 86
5.11 Measured carrier and IM3 component of RoF link employing RF comb filter $(\Delta f=100 \mathrm{MHz}$, RBW $=30 \mathrm{kHz})$. ..... 87
5.12 Measured output spectra of RoF link, (a) with the RF comb filter and (b) without the RF comb filter ( $\Delta f=100 \mathrm{MHz}$ ). ..... 87
5.13 Measured S21 of RoF link employing RF-DBRF for $\Delta f=100 \mathrm{MHz}$ with temperature variation. ..... 88
5.14 Measured carrier and IM3 component of RoF link employing RF- $\operatorname{DBRF}(\Delta f=100 \mathrm{MHz}, \mathrm{RBW}=30 \mathrm{kHz})$. ..... 89
5.15 Measured output spectra of RoF link, (a) with the RF-DBRF and (b) without the RF-DBRF ( $\Delta f=100 \mathrm{MHz}$ ). ..... 89
6.1 Applying proposed RoF link to support (a) balanced RF circuit and (b) balanced antenna system. ..... 94
6.2 Circuit configuration of the proposed RoF link with wideband balun functionality. ..... 95
6.3 Configuration of dual-drive Mach-Zender modulator. ..... 95
6.4 Measured mixed-mode S parameters of the proposed ROF link without EDFA, (a) transmission characteristics and (b) reflection characteristics. 98
6.5 Measured mixed-mode S parameters of the proposed ROF link with EDFA, (a) transmission characteristics and (b) reflection characteris- tics (received optical power at $\mathrm{PD}=+19 \mathrm{dBm}$ ). ..... 99
6.6 Measured imbalances of the proposed RoF link employing UTC-PD, (a) without EDFA and (b) with EDFA. ..... 100
6.7 Measured mixed-mode S parameters of the proposed RoF link employ- ing PIN-PD, (a) without EDFA and (b) with EDFA. ..... 101
6.8 Measured $S_{S D 12}$ versus received optical power at PD (employing UTC- $\mathrm{PD})$. ..... 103
6.9 Measured CMRR versus received optical power at PD (employing UTC-PD). ..... 103
6.10 Measured $S_{S S 11}$ versus received optical power at PD (employing UTC- PD). ..... 103
6.11 Measured $S_{S D 12}$ versus received optical power at PD (employing PIN- PD). ..... 105
6.12 Measured CMRR versus received optical power at PD (employing PIN- PD ). ..... 105
6.13 Measured $S_{S S 11}$ versus received optical power at PD (employing PIN- $\mathrm{PD})$. ..... 105

## List of Tables

1.1 Examples of required bandwidth and data transmission rate. ..... 4
1.2 The problems of existing research and effect of this research. ..... 5
1.3 Comparison between feedforward, diode predistortion, predistortion type equi-path, distortion reduction filter techniques applied to radio- on-fiber link. ..... 12
2.1 Required bandwidth for each system. ..... 25
2.2 Main specification of pin diode. ..... 26
2.3 Measured and simulated variation width ( MHz ). ..... 31
3.1 Antenna gain of a folded loop antenna. ..... 43
3.2 Antenna gain of a planar folded dipole antenna. ..... 53
4.1 Required specifications for RoF link. ..... 62
5.1 Summary of RoF link with three filters. ..... 90


#### Abstract

In the field of wireless communications, multiband/wideband handsets are in great demand. One background to this demand is that many wireless communication systems that use different frequency ranges have been already installed. The software defined radio and cognitive radio architectures are expected to accelerate the introduction of multimode terminals. Another background to this demand is the increase in data transmitted. High speed data transmission is being demanded from wireless communication systems so the bandwidth of each system is expected to increase. In order to realize high speed data transmission, analog front-end circuits with excellent linearity is a key demand.

Despite the obvious need, analog front-end components including antennas, switches, divider/combiners, amplifiers and so on, have been basically designed for operation at a single frequency with narrow frequency band. Moreover, wireless communication systems are still using many non-linear (active) devices, and their distortion triggers in-band and out-of-band spurious components. Given these facts, therefore, the research and development of multiband/wideband analog front-end components with high-linearity is strongly needed.

In this dissertation, several key analog front-end components for multiband/wideband operation and high-linearity are proposed. First, studies on wideband/multiband antenna and switches are described and discussed in Chapters 2 and 3. Next, studies on radio-on-fiber links for wideband and high speed transmission are described and discussed in Chapters 4, 5 and 6.

Chapter 1 introduces the background to and motivation of this study on wideband/multiband analog front-end components with high linearity.

Chapter 2 proposes single-pole double-throw switches composed of pin diodes for multi-band operation. Isolation greater than 20 dB and insertion loss under 2 dB are achieved in experiments at three frequency bands: $1.6 \mathrm{GHz}, 2.5 \mathrm{GHz}$, and 5.8 GHz. Ladder circuit configuration instead of conventional compensating inductance realizes multiband operation.

Chapter 3 proposes wideband folded dipole antennas. An experimental result focusing on 1.7 GHz for 50 ohm impedance matching shows an antenna prototype with bandwidth of over $55 \%$ (VSWR $\leq 2$ ). The gain values of the antenna are basically constant ( 2 dBi ) in this frequency band and the radiation patterns are very similar to those of a normal dipole antenna. Ground plane of conventional folded


loop antenna is removed while maintaining wideband property.
In Chapter 4, a predistortion type equi-path linearizer is created for an RoF system based on W-CDMA. Experimental and calculated results agree well, and more than 20 dB improvement in the third order intermodulation components is obtained over the bandwidth of 60 MHz . The optical circuit and control circuit are more simplified than the conventional feedforward technique.

In Chapter 5, three distortion reduction filters for RoF systems are proposed and evaluated from the standpoint of improving the in-band third order intermodulation (IM3) components (spurious components), insertion loss, temperature stability and so on. The RF comb filter and RF-DBRF can reduce the IM3 components by more than 20 dB and noise level by more than 3 dB . These filters can be applied to wideband system and do not need control circuit.

In Chapter 6, in order to realize a balanced RF interface at the input, an RoF link with a dual-drive Mach-Zender modulator as a wideband balan is proposed. An experiment using mixed-mode S parameters shows that the proposed arrangement offers common mode rejection ratio of at least 15 dB and insertion loss of $41 \pm 2$ dB from 20 MHz to 6 GHz (bandwidth $\approx 199 \%$ ). Furthermore, the addition of an erbium-doped fiber amplifier improves the performance (CMRR over 30 dB and insertion loss of $8 \pm 2 \mathrm{~dB}$ ).

Finally, this dissertation is concluded in Chapter 7, with the summery of each chapter and future prospects.

## Chapter 1

## General Introduction

### 1.1 Background of This Study

The field of wireless communications is being driven by strong demand for multiband/wideband handsets that can support several wireless communication systems [1.1][1.2]. The background to this demand is the acknowledgment of the many dedicated wireless communication systems that have been established in different frequency ranges. Given the continued growth in personal mobility, it is impractical to carry one each of the handsets needed to access all the systems; the optimum approach is to integrate all the handsets into one multimode terminal. Recent research advances such as software defined radio and cognitive radio architectures are expected to accelerate the introduction of multimode terminals [1.3]-[1.5]. A key stumbling block is, however, the poor performances of analog front-end components including antennas, switches, divider/combiners, and amplifiers. Existing devices were basically designed for operation at a single frequency within a narrow frequency band [1.6][1.7].

Another trend is the continued increase in the amount of transmitted data. The emergence of new services, especially video-based services, is driving rapid increases in the data transmission rates demanded of wireless communication systems, as some examples of required bandwidth and data transmission rate are summerized on Table 1.1. In order to realize high speed data transmission, the analog front-end circuits must be highly linear. However, current wireless communication systems contain so many non-linear (active) devices used for wireless communication systems, that the resulting distortion triggers excessive levels of in-band and out-of-band spurious components [1.8].

Against this background, therefore, effective research and development efforts leading to multiband/wideband analog front-end components with high-linearity are urgently needed. It may be said that the performance of multiband/wideband wireless communication systems is now mainly dependent on the performances of the analog front-end components.

Table 1.1: Examples of required bandwidth and data transmission rate.

|  | Required bandwidth <br> $[\mathrm{MHz}]$ | Transmission rate <br> $[\mathrm{Mb} / \mathrm{s}]$ |
| :--- | :---: | :---: |
| 2G (GSM1993) | 0.2 | 0.104 |
| 3G (WCDMA) | 5 | 0.384 |
| WiMAX | 20 | 96 |

Base station (BS) Control station (CS)
 O/E : optical to electric converter VCO : voltage controlled oscillator

Figure 1.1: Example of system block diagram for radio-on-fiber wireless communication systems

### 1.2 Purpose and Position of This Study

This section provides a general overview of analog front-end components for a radio-on-fiber (RoF) wireless system as shown in Fig.1.1. The purpose and position of our proposals for multiband/wideband operation components are shown together with the results of past studies and their problems. The components consist of microwave switches, dipole antennas, and RoF links. As is described in Section 1.2.3 in detail, RoF systems have two merits. The one is the system expandability realized by the low insertion loss of optical fiber, and the other is that RoF systems can support precise electromagnetic field measurements. An example of a system block diagram for RoF wireless communication systems with these components is shown in Fig.1.1. The problems of existing research and effect of this research are summerized on Table 1.2 .

Table 1.2: The problems of existing research and effect of this research.

| Chapter 2(1.2.1) | Target | Realization of multiband RF switch by PIN diode. |
| :---: | :---: | :---: |
|  | Problems of existing research | Only effective near a single resonance frequency. Miniaturization of CP value by MMIC enables wideband operation, but this technique is not effective at higher frequency. |
|  | Proposed method | Ladder circuit is introduced in order to obtain multi-pararell resonances. Semi-microstrip configuration for frequency variation reduction is also proposed. |
|  | Effect of proposed research | Multiband operation is realized with PIN diode. Design method for bandwidth control is also proposed. Frequency variation is reduced to around $25 \%$ by semi-microstrip configuration. |
| Chapter 3(1.2.2) | Target | Realization of wideband omni-directional antenna. |
|  | Problems of existing research | Dipole is not suitable for wideband operation. Folded loop with ground plane do not have omni-directional radiation pattern nor self-balanced |
|  | Proposed method | Wideband folded loop and folded dipole antenna without ground plane. Wideband impedance matching is realized by parameter value studies. |
|  | Effect of proposed research | values distribution for wideband operation is shown. The mechanism of wideband opearation is clarified. Wideband self-balanced property is also obtained. |
| Chapter 4(1.2.3) | Target | Realization of linearizer for Radio-on-Fiber(RoF) with simple optical circuit, simple control circuit, and large improvement in IM3. |
|  | Problems of existing research | Feedforward technique requires large optical circuits (but large improvement in IM3). Diode predistortion technique offers less improvement in IM3 than feedforward (but circuit is simple). Both techniques need complex control circuits to handle temperature changes. |
|  | Proposed method | Predistortion type equi-path linearizer is applied to RoF. Phase shifters and pre-amplifiers are newly added for optimized operation. |
|  | Effect of proposed research | IM3 improvement more than 20 dB is realized with simple optical circuit. Control circuit is also simplified. Addition of pre-amplier can save power consumption. It is found that the IM3 components of LD is shifted by around 90 degrees, so phase shifters are added. |
| Chapter 5(1.2.3) | Target | Realization of simpler distortion cancellation technique for Radio-onFiber (RoF) than that proposed in Chapter 4. |
|  | Problems of existing research | Optical circuit is simplified but RF circuit is still large. Simple control circuit is still needed. |
|  | Proposed method | Filter techniques (optical comb, RF comb, RF-dual band rejection filter (DBRF) ) are applied and compared. |
|  | Effect of proposed research | RF circuits are more simplified than method in Chapter 4. No control circuit is needed. Effective even in the saturation region. Suitable for wideband system. Three filters are compared. Especially, RF comb and RF-DBRF can reduce IM3 more than 20 dB and insertion loss is under 2 dB for bandwidth with 100 MHz . |
| $\begin{aligned} & \text { Chapter } 6 \\ & (1.2 .3) \end{aligned}$ | Target | Realization of wideband balun of Radio-on-Fiber (RoF) link. |
|  | Problems of existing research | Difficult to realize wideband balun. |
|  | Proposed method | Dual-drive Mach-Zender modulator (DD-MZM) is applied. |
|  | Effect of proposed research | Wideband balun is realized. Addition of erbium-doped fiber amplifier (EDFA) improved the performance. The optimum received light powers at photo diodes are studied. |



Figure 1.2: Circuit configuration and measured $S_{21}$ of SPST pin diode switch in on/off states, (a) without compensating inductor and (b) with compensating inductor.

### 1.2.1 Microwave Switches

The microwave switch is one of three key control circuits used extensively for controlling signal flow at microwave frequencies. A pin diodes, which have an intrinsic or i-region between p- and n-type contact regions, and GaAs metal-semiconductor field effect transistors (MESFETs) are the most commonly employed devices for microwave switches. In both cases, the parasitic capacity $\left(C_{P}\right)$ of the device in the off state decreases the isolation of the switch at high frequencies [1.6]. Figure 1.2 (a) shows the circuit configuration and the measured $S_{21}$ (S parameter that represents transmission characterisctics from port 1 to port 2) of a single-pole single-throw (SPST) switch employing a commercially available pin diode with $C_{P}$ value of 0.35 pF [1.9]. In the case of the SPST switch, the switch is in the on (off) state when control voltage $\left(V_{C}\right)$ is high (low), and vice versa. As is shown in Fig.1.2(a), the isolation of the switch in the off state is under 10dB if the signal frequency is higher than 3 GHz . The standard single band switch [1.6] uses a compensating inductance $\left(L_{C}\right)$ to form a parallel resonant circuit with $C_{P}$. Figure 1.2(b) shows the circuit configuration and $S_{21}$ of the standard single band switch with the same pin diode, designed for 5.8 GHz application. $C_{B}$ is a DC blocking capacitor. However, as is found in Fig.1.2(b), this technique is only effective near a single resonance frequency. For example, the isolation at $1-2 \mathrm{GHz}$ is degraded compared to the performance without $L_{C}$.

Several studies have tried to obtain wideband switches in the presence of the
limitation caused by $C_{P}[1.10 \mid[1.11]$. Recent commercial wideband switches cover the DC-6GHz range [1.12][1.13]. These switches, employ monolithic microwave integrated circuit (MMIC) technologies to minimize the value of $C_{P}$, which makes them are much more expensive than switches that use discrete circuit elements. As the $C_{P}$ value is small, but not zero, these approaches become ineffective at high operation frequencies.

In Chapter 2 of this dissertation, we propose a pin diode switch that can operate efficiently at multiple frequencies. The ladder circuit [1.14] introduced here has a simple configuration, which lowers the manufacturing cost significantly. The circuit configuration and the design method are described in Chapter 2.2. Two examples of fabricated switches are described in Chapter 2.3; one is made of all lumped elements (Section 2.3.1) while the other employs a microstrip planar circuit configuration (Section 2.3.2). The latter offers more stable resonance characteristics than the former and so permits mass production. Performance reproducibility of those two types of switches will be investigated in detail. In addition, the temperature characteristics, the switching speed, and the signal distortion characteristics of the switches are investigated in Section 2.3.3. A higher isolation switch is also investigated for SPST dual band use in Section 2.3.4.

### 1.2.2 Dipole Antennas

The most basic antenna configuration is the half-wavelength dipole antenna [1.7][1.15][1.16]. It was used in the first wireless electromagnetic system demonstrated by Professor Heinrich Rudolph Hertz in 1886 [1.7]. The radiation of this antenna is caused by half-wavelength resonance, so the bandwidth is as narrow as a few percent. Figure 1.3 shows the construction of a half-wavelength dipole antenna and its simulated voltage standing wave ratio (VSWR). When width $\mathrm{w}=0.2 \mathrm{~mm}$ and VSWR is under 2 , the bandwidth is around $5 \%$. In order to obtain wider bandwidth, dipoles with cylindrical or wide elements are used. Figure 1.3(b) also shows the simulated VSWR of a dipole antenna with wide elements. It is observed that this arrangement increases the bandwidth when element width is increased. However, the maximum bandwidth obtained with this technique is about $15 \%$. The biconical or bow-tie antenna has much wider bandwidth due to its self-complimentary construction, but its characteristic impedance is much greater than the 50 ohms normally used when designing analog front-end components.

In the field of antennas for mobile handsets, a dipole based antenna with bandwidth of more than $40 \%$ has been reported [1.17]. Figure 1.4 shows the antenna configuration and its measured and simulated VSWRs. Named the folded loop antenna, it is basically constructed by folding the ends of a folded dipole antenna [1.18]-[1.20]. The antenna includes a ground plane, as it is intended for mobile handset use. In this case, the ground plane decreases the antenna's impedance, but the the folded dipole construction counters this decrease. Since the ground plane must be placed near the antenna elements, the antenna fails to offer omnidirectional use.

The folded dipole antenna is known to have self-balanced characteristics. This



Figure 1.3: Half-wavelength dipole antennas; (a) construction, (b) simulated VSWRs for various w values.

(a)

(b)

(c)

Figure 1.4: Folded loop antenna for handsets; (a) construction, (b) measured and simulated VSWRs with balanced feed, (c) measured and simulated VSWRs with unbalanced feed.
makes it unnecessary to use a balun (the interface between antenna and coaxial feed line that converts balanced mode to unbalanced mode), unlike the normal dipole antenna. However, in case of the wideband folded loop antenna, the self-balanced characteristics are observed only in a narrow band, so a balun is still needed for wideband operation, as shown in Figs.1.4(b)(c) [1.17].

The goal of Chapter 3 of this dissertation is to remove the ground plane from the wideband folded loop antenna in handset use, while still maintaining wideband characteristics. This advance is described in Chapter 3.2. The antenna configuration of the folded loop antenna with folded elements is then simplified, that is, the folding parts at both ends of the element are removed, while still maintaining the original wideband properties. This advance is described in Chapter 3.3. With this modification, the antenna can be planar and so easy to assemble. Balanced and unbalanced feeds are evaluated in order to examine the self-balanced properties.

### 1.2.3 Radio-on-Fiber (RoF) Links

Radio-on-fiber (RoF) technology involves modulating an optical carrier with a microwave subcarrier for distribution over a fiber network. The configuration of an RoF system is shown in Fig.1.5. The loss of optical fiber ( $0.2 \mathrm{~dB} / \mathrm{km}$ at $1.55 \mu \mathrm{~m}=193 \mathrm{THz}$ ) is much smaller than that of coaxial cable (around $9 \mathrm{~dB} / \mathrm{m}$ at 60 GHz ), so middle/long distance transmission is available. Recently, RoF links are used for mobile communication systems that must cover areas with weak radio power, e.g. inside tunnels, buildings or underground shopping areas. RoF links are also used for precise antenna measurements, as the effect of RoF link interferes much less with the measured electric field than conventional coaxial cable [1.21]-[1.23].

## Linearizers for Radio-on-Fiber (RoF) Links

RoF links can transmit several wideband microwave subcarriers simultaneously, however, data transmission speed and distance depend on their dynamic range. The dynamic range of an RoF link is mainly determined by its third order distortion and noise characteristics. The distortion is mainly caused by the laser diode (LD) used as the E/O (electric to optical) converter, in the microwave frequency range. Therefore, various linearization techniques have been created to reduce the nonlinear distortion generated by the use of LDs. The circuit configuration of the feedforward technique, as applied to an RoF link, is shown in Fig.1.6(a). With this technique, at the 1st loop, the signal without distortion and the signal after the LD are combined with opposite phase so only distortion components are obtained. At the 2nd loop, the distortion components and the signal after the LD (with distortion) are combined with opposite phase yielding the signal without distortion. The feedforward technique is superior since it offers a large improvement in the third order intermodulation (IM3) components and less intensity noise [1.24][1.25]. However, it has a drawback in that


Figure 1.5: Configuration of radio-on-fiber (RoF) system
it requires additional optical components such as LD, photodiode (PD), and optical couplers.

The circuit configuration of the diode predistortion technique, as applied to an RoF link, is shown in Fig.1.6(b). With this technique, the diode circuit placed in front of the LD generates distortion with opposite phase (signal predistortion). By tuning the signal level with amplifiers, the distortion of the LD signal with distortion is cancelled by the predistortion. Predistortion with diodes is a simple approach but it offers less improvement in IM3 components than the feedforward technique [1.26][1.27]. Moreover, these techniques need complex control circuits to handle the temperature changes expected and these circuits consume a lot of power [1.8][1.28]. There is strong demand for a linearizer that has a simple optical circuit configuration, a minimal control circuit, and a large improvement in IM3 components.

Chapter 4 of this dissertation proposes an RoF link with a predistortion type equipath (PTEP) linearizer [1.29]. The PTEP linearizer uses predistortion and demonstrates good temperature characteristics without a control circuit. As an example, the linearizer is combined with a Fabry-Perot LD for a W-CDMA RoF system. FabryPerot LDs are cost-effective but their distortion characteristics are worse than those of distributed feedback (DFB) LDs. Section 4.2 describes the circuit configuration and design method of the PTEP linearizer for an RoF system. Experiments on a linearizer with two types of LDs are presented in Section 4.3; one is Fabry-Perot LD


Figure 1.6: Circuit configuration (a) feedforward technique and (b) diode predistortion technique applied to radio-on-fiber (RoF) system.
and the other is a DFB-LD. The observed phase shift between the carrier and the IM3 component seen with both types of LDs is discussed in Section 4.4.

Chapter 5 of this dissertation proposes distortion reduction filters for RoF systems. Filtering techniques that use all-passive devices are shown to reduce the circuit size and effective in supporting to wideband systems like ultra wideband (UWB) systems [1.30]. The circuit configuration and design method of three kinds of filter, optical comb filter [1.31] based on the interferometer configuration [1.32][1.33], radio frequency (RF) comb filter, and RF dual band rejection filter (RF-DBRF) [1.34] are described and compared in Section 5.2. RoF links with these filters are then evaluated and compared in Section 5.3, from the standpoint of their improvement in IM3 components, insertion loss, noise level, temperature stability and so on. A comparison of the techniques is summarized in Table 1.3.

Table 1.3: Comparison between feedforward, diode predistortion, predistortion type equi-path, distortion reduction filter techniques applied to radio-on-fiber link.

| techniques | Feedforward | Diode <br> predistortion | Predistortion <br> type equi-path | Distortion <br> reduction filter |
| :--- | :---: | :---: | :---: | :---: |
| circuit scale | large | small | middle | small |
| improvement | large | small | middle | large |
| in IM3 | $(\geq 30 \mathrm{~dB})$ | $(\approx 10 \mathrm{~dB})$ | $(\approx 20 \mathrm{~dB})$ | $(\geq 30 \mathrm{~dB})$ |
| needed <br> control circuit | large size | large size | simple | no need |
| effect on <br> in-band spurious | effective | effective | effective | not effective |
| noise reduction | effective | not effective | not effective | effective |

## Wideband Balun for Radio-on-Fiber (RoF) Links

RoF links have RF input (E/O) and output ( $\mathrm{O} / \mathrm{E}$ ) ports, and most ports are unbalanced (single-ended). However, in the RF circuit field, balanced ports are often used for microwave communication systems, e.g., mobile phone terminals [1.35]. Even systems in the millimeter wave region have started to adopt balanced ports [1.36]. In another important trend, RoF systems are also being used in precise electric field measurement systems as they can reduce measurement distortion and realize long distance transmission [1.37]. Many of the antennas in commercial use have balanced ports (e.g. the dipole antenna described in Chapter 1.2.2). These facts confirm that baluns are needed between balanced RF devices and RoF systems.

There are many kinds of baluns, e.g. bazooka balun, and quarter wavelength coaxial balun, etc. Unfortunately, these baluns operate in a single narrow frequency band as they based on resonance [1.7][1.15][1.16]. Figure $1.7(\mathrm{a})$ shows the circuit configuration of the rat-race coupler [1.38] used as a balun. In this case, the rat-race coupler is designed for use in the 2 GHz band so the length of each transmission line is are determined by wavelength $\lambda$ at 2 GHz . One arm of the coupler is terminated by a 50 ohm resistance. Port 1 is single-ended (unbalanced) while Port 2 is a balanced port. The mixed-mode S parameters [1.39] were measured and are shown in Fig.1.7(b). $S_{D S 21}$, the transmission characteristics from single-ended Port 1 to difference mode Port 2, represents the desired transmission signal. On the other hand, $S_{C S 21}$, the transmission characteristics from single-ended Port 1 to common mode Port 2, represents the undesired signal. The common mode rejection ratio (CMRR), the figure of merit for the balun is defined by the balance between $S_{D S 21}$ and $S_{C S 21}$. From Fig.1.7(b), it can be seen that the rat-race coupler is good as a balun since its CMRR is more than 15 dB at the single frequency band around 2 GHz . Ferrite


Figure 1.7: Rat-race coupler balun; (a) circuit configuration and (b) measured results.
core transformers can be wideband baluns but their upper frequency limit is around 1 GHz . There are difficulties in implementing a wideband balun [1.40], that can be used together with an RoF link.

Chapter 6 of this dissertation proposes an RoF link that uses two input ports of a dual-drive Mach-Zender modulator (DD-MZM) [1.41] to realize a balun with wideband properties. The link has a balanced input RF port and an unbalanced output RF port. Our target is to obtain a CMRR of at least 15 dB . The circuit configuration of the proposed RoF link is shown in Section 6.2. The measured performance results of the balun are shown and discussed in Section 6.3. Additionally, in Section 6.4 the optimized received light power at the PD from the standpoint of insertion loss, CMRR, and return loss is shown. This proposal can, from the standpoint of RF circuits, be considered as a wideband balun implemented by microwave photonics technology.

### 1.3 Outline of the Dissertation

This dissertation is organized as follows. Chapter 1, the present chapter, has introduced the background to and motivation of this study on wideband/multiband analog front-end components with high linearity. Chapters 2 and 3 describe and discuss studies on wideband/multiband antennas and switches. A multiband pin diode switch with ladder circuit configuration is proposed in Chapter 2 and a wideband folded dipole antenna with self-balanced impedance properties is introduced in Chapter 3. In Chapters 4, 5 and 6, studies on radio-on-fiber links for wideband and high speed transmission are detailed. Distortion reduction techniques are proposed in Chapters 4 and 5, and a wideband balun for radio-on-fiber link use is introduced in Chapter 6. Chapter 3 and Chapter 6 are related to wideband conversion between


Figure 1.8: Outline of the dissertation.

Chapters of this dissertation
Papers by the author


Figure 1.9: Comparison between chapters of this dissertation and the papers by the author.
balanced and unbalanced modes. Finally, this dissertation is concluded in Chapter 7. An outline of this dissertation is shown in Fig.1.8 The comparison between chapters of this dissertation and the papers by author is also shown in Fig.1.9.

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## Chapter 2

## Multiband PIN Diode Switch Employing Ladder Circuits

Single-pole double-throw switches composed of pin diodes are proposed for multi-band operations. A ladder resonance circuit is introduced to simplify their configuration and to reduce the cost. The isolation greater than 20 dB and the insertion loss less than 2 dB are achieved in experiments at three frequency bands: $1.6 \mathrm{GHz}, 2.5$ GHz and 5.8 GHz . Resonance frequency variations are investigated in detail for two types of switches consisting of (1) lumped circuit elements and (2) semi-microstrip elements. The variations on the semi-microstrip configuration are about $25 \%$ of those with lumped circuit elements. The proposed switch does not influence the switching speed and signal distortion characteristics. It also showed good temperature stability from $-30{ }^{\circ} \mathrm{Cto}+85^{\circ} \mathrm{C}$.

### 2.1 Introduction

In the field of wireless communications, the development of multi-band handsets are in strong demand and they have been studied to support several systems [2.1][2.2]. The software radio architecture is expected to accelerate the introduction of multiband wireless communication systems [2.3]-[2.6]. One of the key elements is the single-pole double-throw (SPDT) switch with high isolation at desired multiple frequencies.

Pin diode switches are widely used for radio and microwave operation [2.6]-[2.11]. In a pin diode switch, the parasitic capacity $\left(C_{P}\right)$ of the pin diode in the off state decreases the isolation of the switch at high frequencies. The standard single band switch [2.8]uses a compensating inductance $\left(L_{C}\right)$ to form a parallel resonant circuit with $C_{P}$. However, this technique is only effective near a single resonance frequency.

In this Chapter, we propose a pin diode SPDT switch that can operate at multiple frequencies. The ladder circuit [2.12] introduced here has a simple configuration and lowers the manufacturing cost significantly. The circuit configuration and the design method more specifically focused on triple-band uses than [2.13] are described in


Figure 2.1: Triple-band automobile communication system.

Section 2.2. Then two examples of fabricated switches are described in Section 2.3, where one is made of all lumped elements proposed in [2.13] and the other is made of a newly proposed microstrip planar circuit configuration. The latter is newly introduced to obtain the stable resonance frequencies than those of the former in order to permit mass production. Variation characteristics of those two types of switch will be investigated in more detail than [2.13]. In addition, the temperature characteristics, the switching speed and the signal distortion characteristics of the switch are newly investigated. A higher isolation switch by adding more than one pin diode is also newly investigated for single-pole single throw (SPST) dual band uses.

As an example, we design an SPDT switch to support our previously proposed triple-layered patch antenna that operates in three frequency bands: the 1.6 GHz band for global positioning system(GPS), the 2.5 GHz band for the vehicle information and communication system (VICS), and the 5.8 GHz band for a dedicated short-range communication system / electric toll collection system (DSRC/ETC) [2.14][2.15]. Figure 2.1 illustrates an automobile communication system that employs a tripleband patch antenna and the triple-band switch.

There are commercially available wideband switches covering DC-6GHz, e.g. [2.16][2.17]. These switches made by MMIC technology consist of expensive components such as the pHEMT and are much more expensive compared with the switches made of a discrete circuit elements proposed in this Chapter. The triple-band automobile communication systems strongly require inexpensive switches to make cheap equipment and to spread the use of these systems in Japan as quickly as possible. Also the resonant type switches have an advantage to filter out out-of-band noise.


Figure 2.2: Circuit configuration of proposed SPDT switch for three frequency-bands.


Figure 2.3: The ladder circuit for general N-parallel resonance frequencies.

### 2.2 Circuit Configuration and Design Method

### 2.2.1 Circuit Configuration with Ladder Circuit

Figure 2.2 shows the circuit configuration of the proposed SPDT switch for three frequency-band use. The SPDT switch has symmetrical construction. If $V_{C 2}\left(V_{C 3}\right)$ is on and $V_{C 3}\left(V_{C 2}\right)$ is off, $S_{21}\left(S_{31}\right)$ is in the low insertion loss state and $S_{31}\left(S_{21}\right)$ is in the high isolation state. The parallel resonance circuit with $C_{P}$ and $L_{C}$ in the standard single band switch is replaced by the ladder circuit which offers three different resonance frequencies. The ladder circuit in Fig.2.2 is shown by the dotted lines. In order to obtain parallel resonance in multiband frequencies, plural $L_{C}$ s are connected by shunt capacitances. As a result, the ladder circuit configuration with $L$ and $C$ is employed. The ladder circuit for general N -parallel resonance frequencies is shown in Fig.2.3. The parasitic capacitance $C_{P}$ is expressed as $C_{1}$ for convenience in the following calculation. DC blocking capacitance $C_{B}$ is omitted from Fig.2.3 as the value is selected to minimize its effect on the resonance frequencies.

In order to investigate the isolation characteristics of the switch, the impedance of the ladder circuit with the pin diode in the off-state is calculated. We assume that the parasitic elements of the inductances and capacitances shown in Fig.2.2 are negligible, as the parasitic elements are small. In general, impedance $Z_{N}$ of the ladder
circuit for N-parallel resonance frequencies is expressed as follows:

$$
\begin{equation*}
Z_{N}=\frac{1}{j \omega C_{1}+\frac{1}{j \omega L_{1}+\frac{1}{j \omega C_{2}+\frac{1}{j \omega L_{2}+\frac{1}{\cdots+\frac{\cdots}{j \omega C_{N}+\frac{1}{j \omega L_{N}}}}}}}} \tag{2.1}
\end{equation*}
$$

where $\omega$ is the angular frequency, $C_{k}$ and $L_{k}(k=1,2, \cdots, N)$ are the capacitances and inductances shown in Fig.2.3. As a special case for (2.1), $Z_{3}$ are expressed as (2.2),

$$
\begin{equation*}
Z_{3}=\frac{j \omega\left\{A_{1} \omega^{4}+A_{2} \omega^{2}+A_{3}\right\}}{B_{1} \omega^{6}+B_{2} \omega^{4}+B_{3} \omega^{2}+1} \tag{2.2}
\end{equation*}
$$

where,

$$
\begin{aligned}
& A_{1}=C_{2} C_{3} L_{1} L_{2} L_{3} \\
& A_{2}=-\left(C_{2} L_{1} L_{2}+C_{2} L_{1} L_{3}+C_{3} L_{1} L_{3}+C_{3} L_{2} L_{3}\right) \\
& A_{3}=L_{1}+L_{2}+L_{3} \\
& B_{1}=-C_{1} C_{2} C_{3} L_{1} L_{2} L_{3} \\
& B_{2}=C_{1} C_{2} L_{1} L_{2}+C_{1} C_{2} L_{1} L_{3}+C_{1} C_{3} L_{1} L_{3}+C_{1} C_{3} L_{2} L_{3}+C_{2} C_{3} L_{2} L_{3} \\
& B_{3}=-\left(C_{1} L_{1}+C_{1} L_{2}+C_{1} L_{3}+C_{2} L_{2}+C_{2} L_{3}+C_{3} L_{3}\right)
\end{aligned}
$$

The denominator of (2.2) is a cubic equation for $\omega^{2}$. Therefore, there are three positive $\omega \mathrm{s}$ for $Z_{3}=$ infinity. This means that there exist three resonance frequencies. The number of $N$ is not limited to three, so this technique is applicable to dual band switches and switches with more than three frequency bands.

### 2.2.2 Bandwidth Design Method

In the denominator of (2.2), there are five adjustable parameters (excluding $C_{1}=C_{P}$ determined by the chosen pin diode). As the number of adjustable parameters exceeds that of the parallel resonance frequencies, more than one set of parameters provides specified three resonance frequencies.

Some examples of $S_{21}$ calculated by (2.2) for the parallel resonance frequencies of 1.6, 2.5 and 5.8 GHz are shown in Fig.2.4. In the inset a1-a3 and b1-b3 are two pairs of three sets of parameter values used. Parasitic capacitance $C_{P}=0.35 \mathrm{pF}$ are chosen in the calculation, because the pin diode of the prototype switch has this value.


Figure 2.4: Calculated $S_{21}$ vs. frequency of ladder circuits for three parallel resonance frequencies.


Figure 2.5: Bandwidth with 10 dB isolation at $1.6,2.5$ and 5.8 GHz frequency bands.

Table 2.1: Required bandwidth for each system.

| system | bandwidth |
| :--- | :---: |
| GPS | $1573-1577 \mathrm{MHz}(4 \mathrm{MHz})$ |
| VICS | $2498-2502 \mathrm{MHz}(4 \mathrm{MHz})$ |
| DSRC/ETC | $5770-5850 \mathrm{MHz}(80 \mathrm{MHz})$ |

Figure 2.4 indicates that the bandwidths are adjustable while the parallel resonance frequencies are fixed. These characteristics are helpful to design the switch.

Figure 2.5 shows bandwidths at the three frequency bands with isolation value of 10dB in Fig.2.4. Parameter sets a1-a3 and b1-b3 are the same as those in Fig.2.4. It looks like that there are tradeoffs between the three bandwidths. Fig.2.5(a) indicates that the bandwidth adjustment by parameter sets a1-a3 makes the bandwidth changes at 2.5 GHz and 5.8 GHz while the bandwidth is stable at 1.6 GHz . Larger $C_{2}, C_{3}$ and smaller $L_{3}$ make wider bandwidth at 5.8 GHz and narrower bandwidth at 2.5 GHz , and vise versa. On the other hand, Fig.2.5(b) indicates that the adjustment by parameter sets b1-b3 (mainly caused by the changes of $C_{2}, C_{3}$ and $L_{2}$ ) makes the bandwidths change at 1.6 GHz and 5.8 GHz while the bandwidth is stable at 2.5 GHz .

The bandwidth requirements for GPS, VICS and DSRC/ETC are summarized in Table 2.1. Based on these requirements, we chose parameter set $\mathrm{a} 2(=\mathrm{b} 2)$ to design and fabricate an SPDT switch for the three frequency band use.

### 2.3 Experimental Results

Two types of triple-band switches have been fabricated based on the aforementioned circuit configuration. One has the circuit configuration shown in Fig.2.2 and employs lumped element inductances and capacitances. The other has the same circuit configuration in Fig.2.2 and employs microstrip planar circuit construction. The main specifications of the commercial pin diode [2.18] used in our prototypes are summarized on Table 2.2. A standard single band SPDT switch for comparison consists of pin diodes and lumped elements, is designed for a 5.8 GHz band and is fabricated on the same printed circuit board shown in Fig.2.6. Characteristics of triple-band switches will be compared with those of the standard switch.

### 2.3.1 Switch with Lumped Elements Construction

Figure 2.6 shows the triple-band SPDT switch with lumped elements shown in Fig.2.6. It is fabricated on the dielectric substrate with relative permittivity $\epsilon_{r}=3.45$ and thickness $=0.8 \mathrm{~mm}$. To simulate this lumped element switch, we used equivalent models of the pin diode [2.8], capacitors, and inductors [2.19] including parasitic

Table 2.2: Main specification of pin diode.

| $C_{P}$ (parasitic capacitance) | 0.35 pF |
| :--- | :---: |
| $R_{o n}$ (resistance at on state) | 1.5 ohms |
| $P_{r}$ (power rating) | 150 mW |
| $V_{\max }$ (max voltage at on state) | 1.0 V |
| $I_{F}$ (current at on state) | 2.0 mA |



Figure 2.6: Triple-band SPDT switch with lumped elements construction.
elements. Due to the effect of the parasitic elements, the elements in Fig.2.6 were optimized within $20 \%$ of their designed values by simulation in order to achieve the desired resonance frequencies.

Figure 2.7 shows the measured and simulated $S_{21}$ and $S_{22}$ values of the tripleband SPDT lumped element switch. Isolations greater than 20 dB in the off-state and insertion losses under 2 dB in the on-state were obtained at $1.6,2.5$, and 5.8 GHz. For $S_{21}$, the measured and simulated results agreed well. For $S_{22}$, there were some discrepancies between the results but the similar tendencies are clear. The newly proposed triple-band switch added two isolated bands to the standard single band switch, without any effects on the original isolated band. It is also found that the insertion loss levels at 1.6 GHz and 2.5 GHz of the proposed switch are $2-3 \mathrm{~dB}$ less than those of the single band switch. This is due to the high isolation of S31 at these two bands [2.13].


Figure 2.7: Measured and simulated (a) $S_{21}$ and (b) $S_{22}$ of lumped elements tripleband SPDT switch.

### 2.3.2 Switch with Semi-Microstrip Configuration and Variation of Triple-Band Characteristics

The variation of the parallel resonance frequencies with respect to inductors and capacitors were investigated in detail in order to permit mass production. Fortunately, a variation measurement trial with 4 samples showed good stability [2.13]. However, it is predicted from (2.2) that the variation in the three resonance frequencies of a switch composed of lumped elements will be quite large, because commercially available lumped inductors and capacitors shows $\pm 10 \%$ and $\pm 5 \%$ deviations of their values, respectively. In order to obtain smaller variations of those circuit component values, we introduced microstrip elements [2.8] as shown in Fig.2.8 in place of lumped elements. Figure 2.8(a) shows a microstrip type switch where all the inductors and capacitors in the ladder circuits are replaced by planar microstrip elements. Figure 2.8(b) shows a semi-microstrip type switch, where only inductors are replaced by microstrips and capacitors are still lumped elements.

The semi-microstrip switch was selected for fabrication as it is smaller than that made of all microstrip elements. Since all the prototype switches are on printed circuit boards with $\epsilon_{r}=3.45$, the microstrip capacitor needs more space compared with the corresponding lumped capacitor. The second reason for this choice is that lumped capacitor values exhibit relatively less variation as mentioned before.

The approximated equivalent inductance $\left(L_{E}\right)$ of a microstrip inductor is expressed as [2.8],

$$
\begin{equation*}
L_{E}=\frac{Z_{0} L}{v_{g}} \tag{2.3}
\end{equation*}
$$

where $Z_{0}=$ characteristic impedance, $\mathrm{L}=$ length and $v_{g}=$ group velocity of the microstrip inductor. Figure 2.9 shows the fabricated triple-band SPDT switch made of semi-microstrip elements.

We made 20 prototype triple-band switches of lumped and semi-microstrip configurations in order to assess the variation characteristics in detail. Figure 2.10 shows the variation in $S_{21}$ of 10 lumped element switches. The variation widths of the resonance frequencies are approximately 130 MHz at $1.6 \mathrm{GHz}, 230 \mathrm{MHz}$ at 2.5 GHz , and 220 MHz at 5.8 GHz . As shown in Fig.2.10(c), isolation levels greater than 20 dB were achieved for more than 800 MHz in the 5.8 GHz band. However, the variation widths in the 1.6 GHz and 2.5 GHz band are too large and the isolation levels in the desired frequency bands may not be insufficient for the mass-production.

The measured variation characteristics of the semi-microstrip switch (10 samples) are shown in Fig.2.11. Figures.2.11(a)-(c) are $S_{21}$ and Figs.2.11(d)-(f) are $S_{22}$. The variation widths are 30 MHz at 1.6 GHz and 60 MHz at 2.5 GHz . It is clear that the semi-microstrip structure is very effective in reducing the variation widths in the 1.6 and 2.5 GHz band. The isolation becomes greater than 20 dB and the insertion loss becomes less than 2 dB at the desired three frequency bands. The insertion loss at 2.5 GHz is slightly increased from that of the lumped element switch, since the inductance and capacitance values in the semi-microstrip configuration are not


Figure 2.8: Layout pattern of triple-band SPDT switch with (a) all microstrip construction and (b) semi-microstrip construction.


Figure 2.9: Triple-band SPDT switch with semi-microstrip construction.


Figure 2.10: Measured variation characteristics of $S_{21}$ of triple-band SPDT switch with lumped elements construction at (a) 1.6 , (b) 2.5 , and (c) 5.8 GHz (10 samples)


Figure 2.11: Measured variation characteristics of triple-band SPDT switch with semi-microstrip construction for (a) $S_{21}$ at 1.6 GHz , (b) $S_{21}$ at 2.5 GHz , (c) $S_{21}$ at 5.8 GHz , (d) $S_{22}$ at 1.6 GHz , (e) $S_{22}$ at 2.5 GHz , and (f) $S_{22}$ at 5.8 GHz ( 10 samples)

Table 2.3: Measured and simulated variation width (MHz).

|  | 1.6 GHz | 2.5 GHz |
| :--- | :---: | :---: |
| lumped element (meas) | 130 | 230 |
| lumped element (sim) | 220 | 360 |
| semi-microstrip (meas) | 30 | 60 |
| semi-microstrip (sim) | 60 | 100 |

exactly the same as those of the lumped element switch. The measured insertion loss is a little bit higher than those of commercially available wideband switches [2.16][2.17]. As shown in Figs.2.11(d)-(f), the bottom points of $S_{22}$ in the on-state are slightly deviated from the target frequencies and it causes the increase of insertion loss levels. So further adjustment is the next subject, but the insertion loss levels are better than those of the standard single band switch as described in Section III.A and small enough in our system. It is also considered that the long microstrip lines shown in Fig.2.9 increase insertion losses. This can be reduced when they are made on a compact printed circuit board in the actual product.

The measured and simulated variation widths at 1.6 GHz and 2.5 GHz are summarized on Table 2.3. The measured values are about $60 \%$ of the simulated values, because the simulated value is obtained from the worst case analysis. Both measured and simulated variation widths of the semi-microstrip switch are reduced to about 25 $\%$ of those of the lumped element switch. It is also found that the variation in $C_{P}$ for the pin diode is negligible compared to the variation in inductance and capacitance values.

### 2.3.3 Switching Speed, Distortion, and Temperature Characteristics

Figure 2.12 shows the measured switching speed characteristics of the triple-band switch with the semi-microstrip configuration and standard single band switch. The measurement was done by high speed oscilloscope at 5.8 GHz . There is little difference between Fig.2.12(a) and (b). Therefore the ladder circuit configuration does not influence the switching speed. The triple-band switch with all the lumped elements shows the similar characteristics. The measured switching time is $0.15 \mu \mathrm{sec}$ (turn-on) and $1.5 \mu \mathrm{sec}$ (turn-off). The measured delay time is $0.02 \mu \mathrm{sec}$ (turn-on) and $0.01 \mu$ sec (turn-off). These times satisfy the specification of DSRC system [2.20].

Figure 2.13 shows the measured signal distortion characteristics of the triple-band switch with the semi-microstrip configuration and the standard single band switch. The carriers and the third order intermodulation (IM3) components were measured when the two tone carriers at 5.800 GHz and 5.805 GHz are injected in accordance


Figure 2.12: Measured switching speed characteristics at 5.8 GHz band of (a) semimicrostrip triple-band switch and (b) standard single band switch.


Figure 2.13: Measured carrier and IM3 components at 5.8 GHz band of semimicrostrip triple-band switch and standard single band switch.


Figure 2.14: Measured temperature characteristics of $S_{21}$ of triple-band SPDT switch with semi-microstirp construction at (a) 1.6 , (b) 2.5 , and (c) 5.8 GHz .
with the DSRC specification [2.20]. There is little difference in the distortion between the triple-band semi-microstrip and single band switch, so the ladder circuit configuration does not influence the distortion characteristics. The triple-band switch with the lumped element configuration shows the similar characteristics. In Fig.2.13 the third order intercept point (OIP3) is obtained by extrapolation and is about +35 dBm . It is enough for DSRC specifications [2.20]. The measured OIP3s at 1.575 and 2.500 GHz are +44 dBm and +40 dBm , respectively, when the two-tone carriers with 1 MHz separation are injected. These values are large enough in receiving GPS and VICS signals [2.14].

Figure 2.14 shows the temperature characteristics of $S_{21}$ of the triple-band semimicrostrip switch. From $-30{ }^{\circ} \mathrm{Cto}+85{ }^{\circ} \mathrm{C}$, the switch offers good stability and the variations in resonance frequencies are within 20 MHz . The minimum points (resonance frequency) in the off-state are shifted to lower frequencies with the increase of the temperatures. It is estimated that the variations in resonance frequencies are mainly caused by the thermal expansion of the materials of the lumped elements and the printed circuit board.

Figure 2.14 shows that the insertion loss is larger at higher temperatures. This is due to the temperature characteristics of the cable. But the variations of the insertion loss are within 1 dB over the temperature range.

### 2.3.4 Study on Higher Isolation Switch

In our system isolations larger than 20 dB are enough for the narrow bands summarized in Table 2.1. However, in order to prepare for the requirement of higher isolations and wider bandwidth, we study on the isolation improvement of the multiband switch in this subsection. It is known that the isolations can be improved by adding more pin diodes to a switch [2.8]. As a trial, we made a higher isolation SPST

(a)

(b)

Figure 2.15: Circuit configuration of dual band SPST switch employing (a) one pin diode and (b) two pin diodes.


Figure 2.16: Measured $S_{21}$ of dual band SPST switch employing one and two pin diodes.
switch for dual bands ( 1.6 and 2.5 GHz ) by adding pin diodes.
Figure $2.15(\mathrm{a})$ shows a dual band SPST switch employing ladder circuits. One pin diode is employed and $L_{1}, L_{2}$ and $C_{2}$ values for 1.6 and 2.5 GHz operation are shown in the inset. Figure 2.15(b) shows a higher isolation SPST dual band switch employing two pin diodes. $L_{1}, L_{2}$ and $C_{2}$ values in Fig. 2.15(b) are the same as those in Fig.2.15(a). Control voltage $V_{C}$ is switched from plus to minus in the two diodes switch. These two switches are fabricated on the same printed circuit board with all lumped elements.

Figure 2.16 shows measured $S_{21}$ of both switches. At 1.6 and 2.5 GHz , the isolations of the two-diode switch improve by more than 5 dB from those of one-diode switch. As a result, the bandwidths with isolations more than 20 dB are widened. Insertion loss levels at both frequencies are almost the same. The circuit configuration shown in Fig. 2.15(b) can be extended to triple band or more, and applicable to an SPDT configuration. So Fig.2.16 suggests that the result shown in Fig.2.11 can be improved by adding more pin diodes.

### 2.4 Conclusion

The SPDT switch design and fabrication was discussed for purpose of reducing the cost and increasing the reliability. The switch showed the isolation greater than 20 dB and the insertion loss less than 2 dB at three different frequency bands: $1.6 \mathrm{GHz}, 2.5$ GHz , and 5.8 GHz . The use of the ladder circuit realizes a simple circuit configuration, the number of frequency bands is not limited to three, and the frequency range is not limited to $1-6 \mathrm{GHz}$. Simulations including parasitic elements gave good agreement with the measured results. Ten prototype switches were fabricated for the lumped and semi-microstrip model to measure the variations of the switching characteristics for their future mass production. Their variation width of the resonance frequencies were investigated in detail. The semi-microstrip configuration gave quite stable resonance frequencies and its variation width became about $25 \%$ of that of the lumped element switch. It was also found by measurements that the ladder circuit configuration did not influence switching speed and signal distortions. The switch showed good temperature stability from $-30^{\circ} \mathrm{Cto}+85^{\circ} \mathrm{C}$. It is also found that the isolations of the multi-band switch can be improved by adding pin diodes without the degradation of insertion losses. The switch introduced here may become the key device to bidirectional multi-band automobile communication systems.

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## Chapter 3

## Wideband Folded Dipole Antenna

Two types of wideband antenna based on folded dipole construction are proposed. One is a folded loop antenna, that has folded portion at both ends of folded dipole antenna. The other is a planar folded dipole antenna that has simple planar construction without a ground plane and is easy to be assembled. Parameter values are adjusted in order to obtain wideband properties and compactness by using an electromagnetic simulator based on the method of moments. An experimental result centered at 1.7 GHz for 50 ohms impedance matching shows that the antenna has bandwidth over $55 \%$ (VSWR $\leq 2$ ). The gains of the antenna are almost constant $(2 \mathrm{dBi})$ in this frequency band and the radiation patterns are very similar to those of a normal dipole antenna. It is also shown that the antenna has a self-balanced impedance property in this frequency band.

### 3.1 Introduction

With the recent progress and rapid increase in radio communication systems, wideband wireless systems are being put into actual use; examples are an ultra wideband system [3.1][3.2] and digital terrestrial television [3.3][3.4]. This emphasizes the importance of designing high performance antennas for small mobile terminals. A key demand is wideband and compact antennas [3.5)[3.6].

One interesting candidate for wideband and compact antennas is the folded loop antenna [3.7]-[3.9]. It is named as folded loop antenna but basically constructed by folding both ends of an approximately $\lambda_{C} / 2$ length folded dipole antenna [3.12][3.16] ( $\lambda_{C}$ is the wavelength at the center frequency $f_{C}$ ). In the previous paper, a folded loop antenna system for handsets accompanied with ground plane has been introduced and analyzed [3.7]. It has been also reported that the folded loop antenna with more than $40 \%$ bandwidth (VSWR $\leq 2$ ) can be constructed around finite ground plane [3.8][3.9]. This folding parts are important to widen the bandwidth and by adjusting some construction parameters.

One of the aim in this Chapter is to remove the ground plane from this wideband
folded loop antenna and to maintain wideband characteristics with just the folded loop antenna element itself. The bandwidth (VSWR $\leq 2$ ) changes by the antenna parameter values are investigated in detail, in order to obtain maximum bandwith with this construction. It is described in Chapter 3.2 [3.10][3.11].

The other aim in this Chapter is to simplify the antenna configuration of the folded dipole antenna with folded elements, that is, to remove the folding parts at both ends of the element and at the same time to maintain wideband properties. With this modification, the antenna can be planar and is easy to be assembled. As is done in case of folded loop, the parameter distributions for maximum bandwidth are investigated. It is described in Chapter 3.3 [3.18]-[3.20].

It is normal to apply a balanced feed to a balanced antenna like a dipole antenna. However, a narrow band folded dipole antenna has self balanced properties [3.15][3.17] so an unbalanced feed is also applicable. In this case, no balun is needed so the antenna is cost-effective. Therefore, balanced and unbalanced feeds are evaluated in order to examine the self-balanced properties.

### 3.2 Folded Loop Antenna

### 3.2.1 Antenna Structure

Figure 3.1 shows the structure of proposed folded loop antenna and its parameters. It is constructed by folding both ends of folded dipole antenna. Since the folded dipole can be considered as a $\lambda_{C}$-long loop antenna, the antenna is referred to as a folded loop. When $a$ is fixed to 72 mm , bandwidth $=53 \%$ is obtained with $w_{1}=4 \mathrm{~mm}, w_{2}$ $=28 \mathrm{~mm}, d=2 \mathrm{~mm}, h=2 \mathrm{~mm}$, and $s=60 \mathrm{~mm}$. In order to find optimum antenna parameters, we investigated impedance characteristics for various parameters by the simulation based on the method of moment [3.21].

### 3.2.2 Impedance Characteristics

Figure 3.2(a)-(c) shows the impedance variations from folded dipole antenna with ground plane [3.7]-[3.9] $\left(a=72 \mathrm{~mm}, w_{1}=2 \mathrm{~mm}, w_{2}=8 \mathrm{~mm}, d=2 \mathrm{~mm}, h=18\right.$ $\mathrm{mm}, s=60 \mathrm{~mm}, g=2 \mathrm{~mm}$ ) to folded loop antenna without ground plane [3.10]. Parameter $g$ is gap between antenna and ground plane, so $g=\infty$ means there is no ground plane. As shown in Fig.3.2(a), wideband characteristics are lost when ground plane is removed. So as shown in Fig.3.2(b)(c), $w_{2}$ is increased and $h$ is decreased to obtain wideband characteristics without ground plane. Figure 3.2(d)-(f) shows the impedance variations with other parameters, those are $w_{1}, d$, and $s$. From Fig.3.2, it is shown that the parameters $w_{2}, h$, and $s$ have strong influence on impedance characteristics of folded loop antenna without ground plane.

Figure 3.3 shows bandwidth (VSWR $\leq 2$ ) characteristics of folded loop antenna when the parameters $w_{2}, h$, and $s$ are simultaneously changed. Figure 3.3(a) shows


Figure 3.1: Antenna structure of a folded loop antenna.
the parameter ranges to obtain maximum bandwidth, it is $w_{2}=28-32 \mathrm{~mm}$ and $h \leq$ 10 mm . Figure 3.3(b)(c) shows the bandwidth decreases when $s$ decreases. However, the peaks of bandwidth are found around the range of $w_{2}=28-32 \mathrm{~mm}$ and $h \leq 10$ mm .

Figure 3.4 shows the measured and simulated VSWR of the folded dipole antenna. The measured result shows that VSWR $\leq 2$ is obtained from 1.43 GHz to 2.47 GHz (bandwidth $=53 \%$ ). Figure 3.4 shows that the measured and simulated results agreed well each other.

### 3.2.3 Radiation Pattern

Figure 3.5 shows the measured and simulated radiation pattern of the folded loop antenna at $1.5,1.9$ and 2.4 GHz . At the time of measurement, coaxial cable covered with electric wave absorption material is employed instead of balun. The measured and simulated results agreed well for the main polarization $\left(E_{\theta}\right)$. They are around 2 dBi in this frequency band and the pattern is almost same to the half-wavelength dipole. The measured and simulated antenna gains are summerized in Table 3.1. The antenna gain has tendency to increase when the operation frequency goes up.

The measured cross polarizations $\left(E_{\phi}\right)$ are larger than the simulated values at 1.5 and 2.4 GHz . On the other hand, both results agreed well at 1.9 GHz . This is caused by the small ocsillator that is only applicable to 1.9 GHz band. The simulated $E_{\phi}$ has tendency to increase when the operation frequency goes up.


Figure 3.2: Simulated input impedances of a folded loop antenna with various parameter values


Figure 3.3: Simulated bandwidth of a folded loop antenna when $w_{2}, h$ and $s$ are changed.


Figure 3.4: Measured and simulated VSWR of a folded loop antenna.

Table 3.1: Antenna gain of a folded loop antenna.

| Frequency | 1.5 GHz | 1.9 GHz | 2.4 GHz |
| :--- | :---: | :---: | :---: |
| Simulated | 2.1 dBi | 2.2 dBi | 2.6 dBi |
| Measured w/o balun | 2.3 dBi | 2.1 dBi | 2.2 dBi |

### 3.3 Folded Dipole Antenna

Fig. 3.6 shows the simulated $S_{11}$ of folded loop antenna with various $s$. It is observed that the bandwidth with $S_{11}$ less than -9.5 dB (equivalent to VSWR less than 2) increases when $s$ increases. So it is expected that planar folded dipole antenna also have wideband property. As is plotted in Fig.3.6 together, folded dipole antenna without folding at both ends also exhibit wideband characteristics. In this subsection, this wideband folded dipole antenna will be investigated in detail.

### 3.3.1 Antenna Structure

Figure 3.7 shows the structure of the proposed planar folded dipole antenna. The folded dipole antenna [3.12]-[3.16] with two $\lambda / 2$-long elements is constructed 2dimensionally. Its construction parameters are also indicated in Fig.3.7. The length of the elements, $l$ is fixed to 88 mm so $f_{C} \approx 1.7 \mathrm{GHz}$. The widths of the two strips are

(b) 1.9 GHz

(c) 2.4 GHz

Figure 3.5: Measured and simulated radiation pattern of a folded loop antenna.


Figure 3.6: Simulated $S_{11}$ of folded loop antenna with various $s$.
$w_{1}$ and $w_{2}$. The width $w_{2}$ is set to be wider than $w_{1}$ in order to match the antenna impedance to 50 ohms. The separation width between two strips is $d$. The end width of the folded portion is $e$. The gap of the feed point, $g$ is fixed to 2 mm . So we can adjust 4 parameters, $w_{1}, w_{2}, d$, and $e$. A copper plate with 0.2 mm thickness is employed to construct the antenna.

### 3.3.2 Impedance Characteristics

In this subsection, the input impedance of the planar folded dipole antenna shown in Fig.3.7 is investigated. First, impedance changes due to 4 construction parameters are discussed. Then, the bandwidth (VSWR $\leq 2$ ) and $f_{C}$ changes due to 3 active parameters are investigated in detail together with the standpoint of compactness. In this subsection, the electromagnetic simulator based on the method of moments [3.21] is used. Only balanced feed is considered in the simulations. Finally, experimental results with balanced and unbalanced feeds, and simulated results are compared and discussed.

## Impedance Changes by the Parameters

Figure 3.8 shows the impedance characteristics of the planar folded dipole antenna, when 4 parameter values, $w_{1}, w_{2}, e$, and $d$ is changed. The starting values of these


Figure 3.7: Antenna structure of the planer folded dipole antenna.
parameters are $w_{1}=4 \mathrm{~mm}, w_{2}=28 \mathrm{~mm}, d=2 \mathrm{~mm}$ and $e=2 \mathrm{~mm}$, as those are used in $[3.10][3.11]$. As shown in Fig.3.8(a), rounded curves on the Smith chart (with two resonance frequencies) go to higher impedance when $w_{2}$ is decreased under 32 mm . When $w_{2}$ is increased over 32 mm , the rounded curve goes to higher reactance. $w_{2}=$ 32 mm is the best value for wideband impedance matching around 50 ohms. So we changed $w_{2}$ from 28 mm to 32 mm for the next studies.

Figure $3.8(\mathrm{~b})$ shows that imaginary part of the impedance decreases when $w_{1}$ increases. However, the change caused by $w_{1}$ has less impact than that by $w_{2}$. Figure 3.8 (c) shows that the real part of the impedance increases when $e$ increases. So wideband properties cannot be obtained when $e \leq 16 \mathrm{~mm}$ if 50 ohms matching is desired. As shown in Fig.3.8(d), little impedance change is observed when d is changed. Wavelength $\lambda_{H R F}$ at 2 GHz (higher resonance frequency; refer to Fig.3.12) is 150 mm , so $d=2 \mathrm{~mm}$ is $0.013 \lambda_{H R F}$ and $d=16 \mathrm{~mm}$ is $0.107 \lambda_{H R F}$, respectively. According to [3.14], d is better to be less than $0.01 \lambda$, however, this limit is not so strict. So the impedance is almost constant when $d$ is changed from 2 to 16 mm .

## Bandwidth (VSWR $\leq 2$ ) Changes by the Parameters

As is shown in the former subsection, construction parameters $w_{1}, w_{2}$, and e have an important role in a planar folded dipole antenna. So we are going to change these parameters simultaneously in order to find the optimum parameter sets for wideband properties. Figure 3.9 shows the simulated bandwidth when parameters $w_{2}$ and $e$ are simultaneously varied while $w_{1}=4 \mathrm{~mm}$ and $d=2 \mathrm{~mm}$ are fixed. Figure 3.9 illustrates the area of $w_{2}$ and $e$ to obtain the bandwidth over $50 \%$. For the bandwidth


Figure 3.8: Simulated input impedance characteristics of a planar folded dipole antenna with the variation of (a) $w_{2}\left(w_{1}=4 \mathrm{~mm}, d=2 \mathrm{~mm}, e=2 \mathrm{~mm}\right)$, (b) $w_{1}\left(w_{2}=32 \mathrm{~mm}, d=2 \mathrm{~mm}, e=2 \mathrm{~mm}\right)$, (c) $e\left(w_{2}=32 \mathrm{~mm}, w_{1}=\right.$ $4 \mathrm{~mm}, d=2 \mathrm{~mm})$ and (d) $d\left(w_{2}=32 \mathrm{~mm}, w_{1}=4 \mathrm{~mm}, e=2 \mathrm{~mm}\right)$.


Figure 3.9: Simulated bandwidth (in \%) of a planar folded dipole antenna with the variation of $w_{2}$ and $e\left(w_{1}=4 \mathrm{~mm}, d=2 \mathrm{~mm}\right)$
more than $50 \%, w_{2}$ shall be 32 mm for small $e$ and $w_{2}$ shall be 40 mm for large $e$. So for the compactness of the planar folded dipole antenna, small $e$ is desirable.

Figure 3.10 shows the simulated bandwidth when parameter $w_{2}$ and $w_{1}$ are simultaneously varied while $d=2 \mathrm{~mm}$ are fixed. Figures 3.10(a), (b) and (c) are for $e=2,8$, and 16 mm , respectively. When $e$ is small as shown in Fig.3.10(a), $w_{2} \approx$ 32 mm and small $w_{1}$ values are the best for wideband properties. However, as shown in Figs.3.10(b) and (c), $w_{2}$ and $w_{1}$ values for the bandwidth over $50 \%$ is increased when $e$ is increased. Therefore, $e$ shall be kept small to obtain a compact antenna that is the same as indicated in Fig.3.9.

In Figs.3.10(b) and (c), tendencies such as the tradeoff between $w_{2}$ and $w_{1}$ are observed. For example, both $w_{2}=40 \mathrm{~mm}$ and $w_{1}=4 \mathrm{~mm}$ or $w_{2}=36 \mathrm{~mm}$ and $w_{1}$ $=8 \mathrm{~mm}$ are the conditions for the bandwidth over $50 \%$ in Fig.3.10(c). In both cases, $w_{2}+w_{1}$ is almost constant ( 44 mm ). For the wideband properties, $w_{2}$ shall be increased when $w_{1}$ is decreased and vice versa, in these conditions.

## Experimental Results

In consideration of the studies in the former subsections, we selected construction parameters $w_{1}=4 \mathrm{~mm}, w_{2}=32 \mathrm{~mm}, d=2 \mathrm{~mm}$ and $e=2 \mathrm{~mm}$ for the compact and


Figure 3.10: Simulated bandwidth (in \%) of a planar folded dipole antenna with the variation of $w_{2}$ and $w_{1}$ (a) $e=2 \mathrm{~mm}$, (b) $e=8 \mathrm{~mm}$, and (c) $e=16 \mathrm{~mm}$ ( $d=2 \mathrm{~mm}$ for all).


Figure 3.11: An antenna structure with a ground plane for the impedance measurement (balanced feed).


Figure 3.12: Measured and simulated VSWR of a planar folded dipole antenna.
wideband properties. We made two prototypes of planar folded dipole antennas for the impedance measurement. The one has the structure shown in Fig.3.7 (hereinafter referred to as the normal structure) used for the radiation pattern measurement. In this case, the antenna is fed by a coaxial cable without a balun, so an unbalanced feed is used here.

The other has the antenna structure with a ground plane for the balanced feed shown in Fig.3.11. In this case, due to the symmetry of the dipole antenna shown in Fig.3.7 the half portion of the antenna is made on the ground plane as shown in Fig.3.11. The size of the ground plane is around $300 \mathrm{~mm} \times 300 \mathrm{~mm}$ and the antenna is attached at the center. The measured impedance is doubled for the compensation so the model is equivalent to the antenna with a wideband balun.

Figure 3.12 shows the measured and simulated voltage standing wave ratio (VSWR).

The measured and simulated results are in good agreement and exhibit wideband properties. The measured results by the balanced feed and the unbalanced feed agree well so we suppose that the antenna is self-balanced in the wide frequency band. The resonance frequencies of the unbalanced feed structure have a tendency to be lower than those of the balanced one. We think that this is caused by the parallel feed lines of approximately 5 mm length with the unbalance feed (no ground plane). The frequency ranges for VSWR $\leq 2$ are from 1.2 GHz to 2.23 GHz for the balanced feed and 1.16 GHz to 2.09 GHz for the unbalanced feed, respectively. The bandwidths are $60 \%$ and $57 \%$ for the balanced and the unbalanced feed, respectively. The simulated bandwidth is $55 \%$ where the antenna feed is assumed a balanced one.

### 3.3.3 Radiation Pattern

Figure 3.13 shows the radiation patterns of the planar folded dipole (normal structure) antenna at three frequencies. The construction parameters are the same as described in Section 3.3 .2 so the frequencies $1.25 \mathrm{G}, 1.65 \mathrm{G}$ and 2.10 GHz are selected in the band with VSWR $\leq 2$ (Fig.3.12). Simulated (a balanced feed), and measured (with and without a balun) results are plotted together for comparison. The intensity level is indicated with respect to the antenna gain in dBi .

In the $\mathrm{x}-\mathrm{z}$ plane, the simulated and measured main polarization components $\left(E_{\theta}\right)$ are in good agreement, both with and without a balun. However, for the cross polarization component $\left(E_{\phi}\right)$, the measured results without a balun are stronger than the simulated results, although the measured results with a balun agreed well with the simulated results. The antenna has a self-balanced property because of wideband impedance matching for 50 ohms , as described in Section3.3.2. However, as described above, the self-balanced impedance property does not reduce the cross polarization component without a balun. The $E_{\phi} \mathrm{s}$ without balun are mainly created by currents flowing on the outside of coaxial cable, so the measured value can be changed by realigning coaxial cable. The balun used here has a insertion loss of around 1 dB , so the measured $E_{\theta} \mathrm{S}$ with the bulun are a little bit smaller than the measured $E_{\theta} \mathrm{s}$ without the bulun.

In the cases of the $y-z$ and $x-y$ plane patterns, the main polarization components $\left(E_{\phi}\right)$ measured with and without the balun agreed well with those simulated. However, in these cases, the differences between the three results are much bigger than those in the case of the $\mathrm{x}-\mathrm{z}$ plane pattern. This is because the antenna feeding coaxial cable is laid along the y axis and has effects on the radiated electromagnetic field including the y axis. As the coaxial cable is located along the -y axis direction as shown in Fig.3.7, the differences between the simulated and the measured results have tendencies to be larger in the -y region than in the +y region. The simulated cross polarization components $\left(E_{\theta}\right)$ for the y-z and x-y plane are not appeared in Fig.3.13 since they are less than -40 dBi . However, in the y-z plane, the $E_{\theta} \mathrm{S}$ with the balun are much smaller than $E_{\theta}$ s without the balun.

As a whole, as shown in Fig.3.13, the planar folded dipole antenna has radiation


Figure 3.13: Measured and simulated radiation patterns of a planar folded dipole antenna at (a) 1.25 GHz , (b) 1.65 GHz , and (c) 2.10 GHz .
patterns similar to those of a normal dipole antenna in the wide frequency range except the cross polarization component. The simulated and measured (without the balun) antenna gain for the $E_{\theta \mathrm{S}}$ in the x-z plane are summarized in Table 3.2.

### 3.3.4 Current Distribution and Studies on Wideband Mechanizm

Figure 3.14 shows simulated current distributions on the planar folded dipole antenna. Figure $3.14(\mathrm{a})$ is for 2.00 GHz and Fig. $3.14(\mathrm{~b})$ is for 1.35 GHz . As is found from Fig.3.12, the minimum VSWRs are obtained at these frequencies. The arrows show the vector of the current flow. As shown in Fig.3.14(a) at 2.00 GHz , the current is dominant along the T-shaped slit edges of the antenna. The directions of the currents are anti-parallel in the narrow strip ( width $=w_{1}$ ) and in the wide strip ( width $=w_{2}$ )

Table 3.2: Antenna gain of a planar folded dipole antenna.

| Frequency | 1.25 GHz | 1.65 GHz | 2.10 GHz |
| :--- | :---: | :---: | :---: |
| Simulated | 1.9 dBi | 2.2 dBi | 2.5 dBi |
| Measured w/o balun | 2.5 dBi | 2.7 dBi | 2.2 dBi |



Figure 3.14: Simulated current distribution on a planar folded dipole antenna.
along slit, so the antenna is in transmission line mode at 2 GHz . However, as is shown in Fig.3.13(c), the antenna radiates. So the radiation mechanism at this frequency is considered to be different from folded dipole antenna. As shown in Fig.3.14(b) at 1.35 GHz the main current flows along the bottom side of in the wide strip and the narrow strip. The directions of the currents are same in the narrow strip and in most of the wide strip (mainly at the bottom portion), so we can say that the folded dipole antenna is in antenna mode at this frequency.

In order to investigate these current distributions in detail, we simulated two antenna elements named construction A and construction B. The antenna constructions A and B are shown in Fig.3.15(a) and Fig.3.15(b), respectively. The simulated VSWRS of these antenna elements are shown in Fig.3.15(c). It is shown that construction A has resonance at 2.1 GHz . So we can say that the higher order resonance


Figure 3.15: Decomposition of a planar folded dipole antenna to (a) construction A and (b) construction B, and (c) their simulated VSWRs.
at 2.00 GHz is mainly caused by the construction A. For construction B, bandwidth is increased when dipole width $w_{2}$ is increased. It is found that the resonance frequency is 1.4 GHz when $w_{2}=16 \mathrm{~mm}$. So we can say that the lower order resonance at 1.35 GHz is mainly caused by the antenna mode shown in Fig.3.14(b), that is based on the dipole antenna shown in the construction B. It is considered that the mechanism of the wideband operation of this folded dipole antenna is caused by the combination of these two constructions. The VSWR of the folded dipole antenna shown in Fig.3.12 is also plotted in Fig.3.15 as a reference.

### 3.4 Conclusion

A folded loop antenna and a planer folded dipole antenna with wideband characteristics are proposed. Both antennas have same characteristics, so the folded loop antenna is suitable for compact applications and the folded dipole antenna is suitable for planer applications. The antenna have simple planar construction without a ground plane and are easy to be assembled. Parameters for wideband and compact properties are found by using an electromagnetic simulator based on the method of moments. Experimental results centered at 1.7 GHz for 50 ohms impedance matching show that the antenna has the bandwidth of more than $55 \%$ (VSWR leq 2). The simulated and experimental results are in good agreement, and the gains of the antenna are almost constant (around 2 dBi ) in this frequency band. The radiation patterns are very similar to a normal dipole antenna except cross polarization components, and it is also found that the antenna has a self-balanced impedance property in this frequency band. The antenna is expected to be the powerful solution for the wideband wireless communication systems.

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## Chapter 4

## Predistortion Type Equi-Path Linearizer for Radio-on-Fiber (RoF) System


#### Abstract

A predistortion type equi-path linearizer is created for a radio-on-fiber system based on W-CDMA; its main benefit is its simple optical circuit configuration. Experiments show that the phase difference between the carrier and the third order intermodulation component of the laser diodes is shifted by around 90 degrees from that of the RF amplifier. To our knowledge, this is the first report to describe this phase shift, which is observed with both Fabry-Perot and distributed feedback laser diodes. To counter this shift we use additional phase shifters. The proposed design places a pre-amplifier between the linearizer and the laser diode for lower power consumption and better insertion gain at the linearizer. Experimental and calculated results agree well, and more than 20 dB improvement in the third order intermodulation components is obtained over the bandwidth of 60 MHz . The linearizer works well in the temperature range of $10{ }^{\circ} \mathrm{C}$ to $40^{\circ} \mathrm{C}$ with a simple control circuit.


### 4.1 Introduction

Radio-on-fiber (RoF) systems are widely used for wireless communication networks [4.1]-[4.3] and various linearization techniques have been created to reduce the nonlinear distortions generated by the use of laser diodes (LDs). The feedforward technique is superior since it offers a large improvement in the third order intermodulation (IM3) components and less intensity noise [4.4]-[4.5]. However, it has a drawback in that it requires additional optical components such as a laser diode, a photodiode (PD), and optical couplers. Predistortion with diodes is a simple approach but it offers less improvement in IM3 components than the feedforward technique [4.6]-[4.7]. Moreover, these techniques need complex control circuits to handle the temperature changes expected and these circuits consume a lot of power [4.8]-[4.9]. There is strong de-
mand for a linearizer that has a simple optical circuit configuration, a minimal control circuit, and a large improvement in IM3 components.

This Chapter proposes an RoF system with a predistortion type equi-path (PTEP) linearizer [4.10]. The PTEP linearizer uses predistortion and demonstrates good temperature characteristics without a control circuit. As an example, the linearizer is combined with a Fabry-Perot LD for a W-CDMA RoF system. Fabry-Perot LDs are cost-effective but their distortion characteristics are worse than those of distributed feedback (DFB) LDs. Our target is to improve the IM3 components by more than 10 dB, and to realize an RoF system for W-CDMA around a Fabry-Perot LD instead of a DFB-LD.

Section 4.2 describes the circuit configuration and design method of the PTEP linearizer for an RoF system. In addition to approximated calculation [4.11], calculation without approximation is discussed for the linearizer design. Experiments on a linearizer with two types of LDs are presented in Section 4.3; one is Farby-Perot [4.11] and the other is a DFB-LD. The distortion characteristics with non-modulated two tone carriers [4.11] as well as a CDMA modulated carrier are shown. The observed phase shift of the phase difference between the carrier and the IM3 component seen in both types of LDs is discussed in Section 4.4 in more detail than is provided in [4.11].

### 4.2 Circuit Configuration and Design Method

### 4.2.1 Circuit Configuration of the Linearizer

Figure 4.1 shows the circuit configuration of the proposed PTEP linearizer with RoF link (LD, PD and optical fiber). The parameters of each device are shown in the inset. At the linearizer, the input signal is split into two paths by a branch line coupler (BL-1) [4.12]. One drives a predistortion amplifier (Amplifier-1) and the other drives Amplifier-2. Amplifier-1 and Amplifier-2 have the same characteristics and are accompanied by the same attenuator (Attenuator-1 and Attenuator-2). Amplifier-1 is followed by Attenuator-1 and Attenuator-2 is followed by Amplifier-2, so the two signals have the same carrier level but different IM3 component level. When these two signals through couplers are combined at BL-2 their phase difference equals 180 degrees, so the carrier signals cancel each other and only the distortion components remain. Hence this path is called the distortion path. The signal from Amplifier-2 is split into two, one is sent to the distortion path with BL-2 and the other to the linear path with BL-3. At BL-4, signals from the distortion path and linear path are combined with 180 degree phase difference, so the carrier signals and the IM3 components of the output signal have opposite phases. The signal output by the linearizer drives the RoF link.

As is shown in Fig.4.1, the distortion path and linear path consist of the same elements, so temperature changes do not alter the phase difference. Attenuator-3 is


Figure 4.1: Circuit configuration of PTEP linearizer with RoF link.
used for power level adjustment. The pre-amplifier between the linearizer and the RoF link and phase shifters PS-1 and PS-2 are newly employed from [4.10]. The role of the pre-amplifier is described in the next subsection. The role of PS-1 and PS-2 is discussed in Section 4.4.

### 4.2.2 Calculation of Power Levels

Assuming that the insertion losses of all couplers (including BLs) in Fig.4.1 is 3 dB and the pre-amplifier is not used, we can calculate the power (in dBm ) of carriers $\left(C_{P}\right)$ and IM3 components $\left(I_{3 P}\right)$ at the output of PTEP linearizer as follows;

$$
\begin{align*}
C_{P} & =C_{I}+G_{A}-A-B-12  \tag{4.1}\\
I_{3 P} & =-2 O_{3 A}+3 C_{I}-A+3 G_{A}-B-18+2 L+20 \log \left(1-10^{(-A-L) / 10}\right)  \tag{4.2}\\
& \approx-2 O_{3 A}+3 C_{I}-A+3 G_{A}-B-18 \tag{4.3}
\end{align*}
$$

where $C_{I}$ is carrier power at input $(\mathrm{dBm}), A$ is the attenuation of Attenuator-1 and Attenuator-2 (dB), $B$ is the attenuation of Attenuator-3 (dB), $G_{A}$ is gain of Amplifier1 and Amplifier-2 (dB), $O_{3 A}$ is 3rd output intercept point (OIP3) of Amplifier-1 and Amplifier- $2(\mathrm{dBm})$, $L$ is $10 \log \left(1-10^{-A / 10}\right)$; the approximation in $(4.2)$ is reasonable assuming that $A$ is large enough. Assuming also that the gain and OIP3 of the RoF link are $G_{L}$ and $O_{3 L}$ respectively, the power of predistorted IM3 components at the output of the RoF link ( $I_{3 P D O}$ ) is

$$
\begin{align*}
I_{3 P D O} & =G_{L}+I_{3 P D} \\
& =-2 O_{3 A}+3 C_{I}-A+3 G_{A}-B-18+G_{L}+2 L+20 \log \left(1-10^{(-A-L) / 10}\right)  \tag{4.4}\\
& \approx-2 O_{3 A}+3 C_{I}-A+3 G_{A}-B-18+G_{L} \tag{4.5}
\end{align*}
$$



Figure 4.2: Calculated power of predistorted IM3 components at the output of the RoF link ( $I_{3 P D O}$ ) and IM3 component power at the output of the RoF link ( $I_{3 L}$ ) versus the attenuation value of Attenuator-1 and Attenuator-2 $(A)$ for (a) various OIP3 of Amplifier-1 and Amplifier-2 $\left(O_{S A}\right)$ and (b) various gain of the RoF link $\left(G_{L}\right)$.

IM3 component power $\left(I_{3 L}\right)$, at the output of the RoF link is, when two tone carriers of $C_{P}(\mathrm{dBm})$ without distortion are input, given by

$$
\begin{equation*}
I_{3 L}=-2 O_{3 L}+3 C_{I}-3 A+3 G_{A}-3 B-36+3 G_{L} \tag{4.6}
\end{equation*}
$$

The requirement for IM3 component cancellation is $(4.4)=(4.6)$.
Figure $4.2(\mathrm{a})$ shows the calculated power of predistorted IM3 components at the output of the RoF link ( $I_{3 P D O}$ ) and IM3 component power at the output of the RoF link ( $I_{3 L}$ ) using (4.4) and (4.6) versus the attenuation value of Attenuator-1 and Attenuator-2 $(A)$, for various OIP3 of Amplifier-1 and Amplifier-2 $\left(O_{3 A}\right)$. Our prototypes used an RoF link with $G_{L}$ (gain) $=-17 \mathrm{~dB}$ and $O_{3 L}$ (OIP3) $=-7 \mathrm{dBm}$, and Amplifier-1 and Amplifier-2 with $G_{A}($ gain $)=21 \mathrm{~dB}$ and $O_{3 A}($ OIP3 $)=+17$ $\mathrm{dBm} . B=0 \mathrm{~dB}$, and input power $=-15 \mathrm{dBm}$ are assumed. Calculated $I_{3 P D O}$ by (4.5) when $O_{3 A}=+17 \mathrm{dBm}$ is plotted together for reference. As shown in Fig.4.2(a), $I_{3 P D O}$ by (4.4) degrades quickly for smaller $A$ due to the effect of the last two terms in (4.4). This degraded region is unstable so large $A$ values are desirable for stable operation. When $A$ is large enough, the deviations between (4.4) and (4.5) are negligible. Fig.4.2(a) shows that there is no cancellation in the large $A$ region when $O_{3 A}=+17 \mathrm{dBm}$, and large $O_{3 A}($ OIP3 of Amplifier-1 and Amplifier-2) is necessary for cancellation. However, enlarging $O_{3 A}$ means increasing the power consumption of the linearizer.

Table 4.1: Required specifications for RoF link.

| IM3 | -65 dBc |
| :--- | :--- |
| $\mathrm{C} / \mathrm{N}$ | 52 dB |
| bandwidth | $2130-2150 \mathrm{MHz}$ <br> $(20 \mathrm{MHz})$ |
| temperature range | $10{ }^{\circ} \mathrm{Cto} 40^{\circ} \mathrm{C}$ |

Another method for cancellation with these devices is to enlarge $G_{L}$ (gain of the RoF link). Figure $4.2(\mathrm{~b})$ shows the calculated $I_{3 P D O}$ and $I_{3 L}$ using (4.4) and (4.6) versus $A$ for various gain of the ROF link $\left(G_{L}\right)$. Cancellation in the large $A$ region is observed for large $G_{L}$ in Fig.4.2(b). To enlarge $G_{L}$, a pre-amplifier with gain $=22 \mathrm{~dB}$ and OIP3 $=+36 \mathrm{dBm}$ is employed between the linearizer and RoF link, as shown in Fig.4.1. This configuration enables to reduce $O_{3 A}$ (OIP3) for the two amplifiers by the insertion of one pre-amplifier. So in our case, the power consumption at the linearizer (including the pre-amplifier) is about $25 \%$ lower than that of the configuration without a pre-amplifier. The pre-amplifier also gives the linearizer insertion gain, instead of insertion loss.

### 4.3 Experimental Results

The specifications for the RoF link (down link) of a W-CDMA RoF system are summarized in Table 4.1. An RoF link with Fabry-Perot LD, $G_{L}=-17 \mathrm{~dB}$ and $O_{3 L}$ $=-7 \mathrm{dBm}$ in the 2140 MHz band when the bias current $I_{b}=18 \mathrm{~mA}$ and optical output power $=2.5 \mathrm{~mW}$ does not meet these specifications, so a large improvement in IM3 components is required. The carrier and IM3 were calculated using (4.1), (4.4) and (4.6). The attenuation values of Attenuator-1 and Attenuator-2, $A$ are set to 15 dB and the value of Attenuator-3, $B$ is adjusted in order to minimize the IM3 components.

The PTEP linearizer fabricated for the above RoF link is shown in Fig.4.3. A printed circuit board with dielectric constant $\epsilon_{r}=3.45$ and thickness $=0.8 \mathrm{~mm}$ was used to build for this prototype. The coupler proposed by E.J.Wilkinson [4.13] was employed.

Figure 4.4 shows the measured and calculated carrier and IM3 component of the RoF link employing the PTEP linearizer and Fabry-Perot LD; $B$ is around 3 dB and the insertion gain of the linearizer (including pre-amplifier) is around 13 dB in this case. The measurement was done in the 2140 MHz band and two tone carriers at 2140 and 2145 MHz were injected; this represents a frequency separation $\Delta f=5$ MHz . The data measured without the linearizer are also plotted for reference; it is shifted by 13 dB along the input axis, in order to match the carrier plot. A maximum


Figure 4.3: Fabricated PTEP linearizer with RoF link employing Fabry-Perot LD.
improvement of over 20 dB in IM3 components is observed in Fig.4.4. The measured and calculated carriers and IM3 components agree well with each other.

Figure 4.5 shows measured $\mathrm{C} / \mathrm{N}$ and IM3 characteristics versus the optical modulation index (OMI). Without the PTEP linearizer, the Fabry-Perot LD used here cannot meet the specifications in Table 4.1. Since the proposed linearizer improves the IM3 components by more than 20 dB , the LD meets the specification with OMI range from $10 \%$ to $30 \%$.

The PTEP linearizer does not alter the $\mathrm{C} / \mathrm{N}$. The measured noise level of RoF link itself (LD +PD ) and that of the RoF link with the linearizer are the same, around $-152 \mathrm{dBm} / \mathrm{Hz}$. The measured noise level of the PTEP linearizer itself (including the pre-amplifier) is increased to around $-142 \mathrm{dBm} / \mathrm{Hz}$ due the losses imposed by the couplers and attenuators. However, the RoF link with Fabry-Perot LD has large loss, around 17 dB , in this case, so the noise increase due to the PTEP linearizer is negligible.

Figure 4.6 shows frequency bandwidth characteristics of the PTEP linearizer. Frequency separation $\Delta f=5 \mathrm{MHz}$. With the linearizer, the IM3 components are improved by more than 20 dB in a bandwidth of greater than 60 MHz ; the bandwidth specifications described in Table 4.1 are satisfied.

Figure 4.7 shows the measured carrier power and adjacent channel power (ACP) of a W-CDMA modulated signal passed through the ROF link. As the ACP is proportional to IM3 component, the ACP in Fig. 4.7 has the same curve as the IM3 component in Fig.4.4. However, due to the noise level around -86 dBm with channel power measurement, it is degraded when ACP is under -80 dBm . The measured


Figure 4.4: Measured and calculated carrier and IM3 component of RoF link employing PTEP linearizer and Fabry-Perot LD in the 2140 MHz band.


Figure 4.5: Measured $\mathrm{C} / \mathrm{N}$ and IM3 characteristics versus the optical modulation index (OMI) with and without the linearizer.


Figure 4.6: IM3 component versus frequency with and without the linearizer (input power $=-17 \mathrm{dBm}$, frequency separation $\Delta f=5 \mathrm{MHz}$ ).


Figure 4.7: Measured carrier power and adjacent channel power of W-CDMA modulated signal through the RoF link with PTEP linearizer and Fabry-Perot LD.


Figure 4.8: Measured spectra of W-CDMA modulated signal through the RoF link (a) without PTEP linearizer and (b) with PTEP linearizer.


Figure 4.9: Measured temperature characteristics of the carrier and IM3 component of the RoF link with PTEP linearizer and Fabry-Perot LD.


Figure 4.10: Measured and calculated carrier and IM3 component of RoF link employing PTEP linearizer and DFB-LD in the 2140 MHz band.
spectra of the W-CDMA modulated signal with and without the linearizer are shown in Fig.4.8. In Figs.4.8(a) and 4.8(b), the carrier power is the same to -21 dBm , and the ACP is -43 dBm without the linearizer and -59 dBm with the linearizer, respectively. As the ACP improvement by the linearizer is 16 dB due to a noise floor, it is observed that the peak of ACP is improved by around 20 dB from Fig.4.8. It is also found from Figs.4.7 and 4.8 that the noise level with the linearizer equals that without the linearizer, as mentioned above.

Fig.4.9 shows the measured temperature characteristics of the carrier and IM3 components of the RoF link with the PTEP linearizer. As the automatic temperature control circuit attached to the Fabry-Perot LD is not strong enough, the attenuation value $B$ should be adjusted according to the temperature. However, the temperature stability can be realized by a simple control circuit. The variation of carrier level is 3 dB and the improvement of IM3 components is more than 20 dB from $10{ }^{\circ} \mathrm{Cto} 40^{\circ} \mathrm{C}$.

The PTEP linearizer was also applied to a DFB-LD with $G_{L}=-12 \mathrm{~dB}$ and $O_{3 L}$ $=-5 \mathrm{~dB}$ in the 2140 MHz band when the bias current $I_{b}=20 \mathrm{~mA}$. Figure 4.10 shows the measured and calculated carrier and IM3 component of the RoF link using the DFB-LD; $B$ is around 12 dB and the insertion gain of the linearizer (including preamplifier) is around 4 dB in this case. The measured data without the linearizer are
also plotted for reference. Maximum improvement of over 20 dB in IM3 component is also observed in Fig.4.8. The measured and calculated results agree with each other but not as well as with the Fabry-Perot LD. We suppose that this deviation is caused by the non-linear characteristics of the IM3 components of the DFB-LD when the input power is around -12 dBm .

### 4.4 Phase Delay of IM3 components

As shown in Fig.4.1, we added two phase shifters along the distortion and linear paths of the PTEP linearizer. These phase shifters are not necessary in a linearized RF amplifier, but are needed if LDs are used. Figure 4.11 shows measured carrier and IM3 component of the RoF link with the Fabry-Perot LD versus the phase difference between PS-1 and PS-2. Figure 4.11 (a) is for 830 MHz band measurement and Fig.4.11(b) is for 2370 MHz band measurement when frequency separation $\Delta f$ $=5 \mathrm{MHz}$, and input power level is -10 dBm . The calculated IM3 component from carrier level without the PTEP linearizer is also plotted for reference. Figure 4.11 indicates that the maximum improvement of IM3 components is observed when the phase difference is around 90 degrees for the Fabry-Perot LD, while it is observed when the phase difference is 0 degrees for RF amplifier. This suggests that the phase difference between the carrier and the IM3 components of the Fabry-Perot LD is shifted by around 90 degrees from the phase of the carrier. In other words, the phase difference between the carrier and the IM3 component is 270 degrees for the FabryPerot LD and 180 degrees for the RF amplifier. The results in Figs.4.4-4.9 were measured under this optimum phase difference.

In order to verify the above mentioned 90 degree phase shift in phase difference between carrier and IM3 component, we investigated the optimum phase difference at various RF frequencies. Figure 4.12 shows the optimum phase difference (measured) that maximizes the improvement in IM3 components as a function of RF frequency when frequency separation $\Delta f=5 \mathrm{MHz}$. As shown in Fig.4.12, a 90 degree phase difference is needed from 800 MHz to 2400 MHz for the Fabry-Perot LD. The slight deviations from 90 degrees observed in Fig. 4.12 are thought to be caused by the measurement error of phase shifter.

Figure 4.13 shows the measured optimum phase difference as a function of frequency separation $\Delta f$. -10 dBm two tone carriers with center frequency 2140 MHz are injected, i.e., the upper carrier frequency is $2140+\Delta f / 2(\mathrm{MHz})$ and the lower carrier frequency is $2140-\Delta f / 2(\mathrm{MHz})$. Figure 4.13 indicates that the optimum phase difference is constant at around 90 degrees for all $\Delta f$ values. The optimum phase difference to minimize upper frequency IM3 and lower frequency IM3 deviates when $\Delta f$ is large, as the phase of upper and lower IM3 components deviates at large $\Delta f$. The optimum phase difference increases when $\Delta f$ is large. We suppose this increase is due to the frequency-dependence of non-linearity in the directly-modulated LD [4.14] and the phase deviation created by the BLs.


Figure 4.11: Measured carrier and IM3 component of RoF link employing PTEP linearizer and Fabry-Perot LD versus phase difference between PS-1 and PS-2 in the (a) 830 MHz band and (b) 2370 MHz band.


Figure 4.12: Measured optimum phase difference between PS-1 and PS-2 for FabryPerot LD versus RF frequency.


Figure 4.13: Measured optimum phase difference between PS-1 and PS-2 for FabryPerot LD versus frequency separation $\Delta f$.


Figure 4.14: Measured phase difference between PS-1 and PS-2 for maximizing improvement in IM3 components for Fabry-Perot LD versus input power.


Figure 4.15: Measured phase difference between PS-1 and PS-2 for maximizing improvement in IM3 components for Fabry-Perot LD versus input power.


Figure 4.16: Measured optimum phase difference between PS-1 and PS-2 for DFB-LD versus $R F$ frequency.

Figure 4.14 shows the measured optimum phase difference as a function of input power level. The measurement was done in the 2140 MHz band when $\Delta f=5 \mathrm{MHz}$ and Fig.4.14 indicates that the optimum phase difference is constant at around 90 degrees for small input power levels. The optimum phase difference increases when the input power increases. We believe that this characteristic is mainly caused by the AM-PM conversion at high input power of Amplifier-1, Amplifier-2, the pre-amplifiers [4.9], and LD[4.15][4.16].

These phase shift characteristics were also investigated for the DFB-LD. Figure 4.15 shows measured carrier and IM3 component of the RoF link employing the DFBLD versus the phase difference between PS-1 and PS-2 in the 830 MHz and 2370 MHz bands. Figure 4.16 shows the measured optimum phase difference that maximizes the improvement in IM3 components as a function of RF frequency when frequency separation $\Delta f=5 \mathrm{MHz}$. As shown in Fig.4.16, a phase difference of around 90 degrees is also needed from 800 MHz to 2400 MHz for the DFB-LD. Therefore, it is supposed that the 90 degree phase shift of the phase difference between the carrier and the IM3 component is an intrinsic characteristic of semi-conductor LDs. It is also assumed that the 90 degree phase shift is related to the phase distortion of vector modulated symbols after transmission through the ROF link at low power levels, as is reported in [4.16].

### 4.5 Conclusion

A predistortion type equi-path linearizer was combined with a Fabry-Perot LD for an RoF system based on W-CDMA. IM3 components are improved by over 20 dB in the RF frequency band of more than 60 MHz . The $\mathrm{C} / \mathrm{N}$ level is not changed by the addition of the linearizer and the RoF link meets the specifications with OMI range from $10 \%$ to $30 \%$. The proposed configuration exhibits good temperature stability from 10 to $40^{\circ} \mathrm{C}$ with a simple control circuit. It can be said that the improved stability is obtained by increasing the complexity of the RF circuit. However, compared to the feedforward technique (excluding temperature control circuit), the expensive optical portion is reduced and total circuit complexity is about the same. The circuit size is very large in our prototype shown in Fig.4.3, but it can be reduced by employing compact couplers and including additional phase shifters from the first design stage.

It is also found that the phase difference between the carrier and the IM3 component generated by the non-linearity of the Fabry-Perot and distributed feedback laser diode is shifted by 90 degrees from that of the RF amplifier. This is, to our knowledge, the first report of this phase shift. This 90 degree phase shift was validated in experiments at various RF frequencies, frequency separations, and input powers. The proposed linearizer will be a powerful incentive to adopt RoF systems.

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## Chapter 5

## Distortion Reduction Filters for Radio-on-Fiber (RoF) System

Three distortion reduction filters for radio-on-fiber systems are proposed and evaluated from the standpoint of improvements in out-of-band third order intermodulation (IM3) components (spurious components), insertion loss, temperature stability and so on. The basic filter configuration includes optical comb filter, RF (radiowave frequency) comb filter, and RF dual band rejection filter (DBRF). Experiments are conducted at 2 GHz band for frequency separation $\Delta f=5 \mathrm{MHz}$ and 100 MHz in the temperature range of $-10^{\circ} \mathrm{Cto}+50^{\circ} \mathrm{C}$. These filters can reduce IM3 components even in the saturation region, unlike conventional linearizers. An optical comb filter can reduce IM3 components more than 20 dB and noise level around 10 dB if its polarization controller is properly adjusted, but its insertion loss is large and stability against vibration is very poor. The proposed RF comb filter and RF-DBRF can reduce IM3 components by more than 20 dB and noise level by more than 3 dB . Their stability against vibration and temperature change is good, and insertion losses are $1-2 \mathrm{~dB}$ for $\Delta f=100 \mathrm{MHz}$.

### 5.1 Introduction

Radio-on-fiber (RoF) systems are widely used in wireless communication networks [5.1]-[5.4] and various linearization techniques have been applied in order to reduce the nonlinear distortion generated by the use of laser diodes (LDs). The feedforward technique is superior since it offers a large improvement in third order intermodulation (IM3) components and lower intensity noise [5.5][5.6]. However, it has a drawback in that it requires additional optical components such as a laser diode, a photodiode (PD), and optical couplers. Predistortion with diodes is a simple approach but it offers less improvement in IM3 components than the feedforward technique [5.7][5.8]. Additionally, these techniques need complex control circuits to handle temperature changes, and these circuits can consume a lot of power [5.9][5.10]. The predistortion
type equi-path technique has good temperature stability [5.11] but requires large RF circuit size as does the feedforward approach and control circuit is simplified but still needed, as described in Chapter 4. There is strong demand for a distortion cancellation technique that offers simple circuit configuration, no control circuit, and a large improvement in IM3 components.

A related trend is the continual increase in the required bandwidth for wireless communication systems. The required bandwidth is around 5 MHz for wideband code division multiple access (W-CDMA) systems, around 20 MHz for wireless local area network (W-LAN) systems, and over GHz for ultra wideband (UWB) systems [5.12]. Conventional linearization techniques have difficulty in covering such wide bands [5.9][5.10]. Given this background, filtering techniques composed of all passive devices will be effective. Filters can also meet the three above mentioned demands placed on distortion cancellers. Filtering techniques is only effective for reducing out-ofband IM3 (spurious) components; they are not effective for in-band IM3 components. Therefore, only spurious components are addressed this paper. However, the spurious components are the most critical component and are restricted by law. If in-band IM3 is critical in some application, a filtering technique accompanied with a simple and weak linearizer (e.g., diode predistortion) can be adopted.

In this chapter, we propose distortion reduction filters for RoF systems. The circuit configuration and design method of three kinds of filter, optical comb filter [5.13] based on the interferometer configuration [5.14][5.15], radio frequency (RF) comb filter, and RF dual band rejection filter (RF-DBRF) [5.16] are described in Section 5.2. RoF links with these filters are then evaluated and compared in Section 5.3, from the standpoint of improvement in IM3 components, insertion loss, noise level, temperature stability and so on. As an example, those filters are applied to an RoF link in the 2 GHz band. Frequency separation of signals $(\Delta f)$, which is proportional to bandwidth, is evaluated at 5 MHz and 100 MHz . Our target is to obtain more than 10 dB improvement in IM3 components.

### 5.2 Circuit Configuration and Design Method

### 5.2.1 RoF Link with Optical Comb Filter

Figure 5.1(a) shows the circuit configurations of the proposed RoF link with optical comb filter. In the optical comb filter, the filter is composed of an optical divider, optical coupler, and two optical fiber paths with different lengths. The optical comb filter is placed between the LD and PD. Signals with IM3 components output by the LD are fed into the optical comb filter. The transmission characteristics of the comb filter have rejection frequencies at a regular interval which is adjustable by tuning the difference length of the short and long paths. The function of the polarization controller (PC) shown in Fig.5.1(a) is discussed in Section 5.3.1.

The phase angles of signals and IM3 components at three points (A, B and C) in


Figure 5.1: Circuit configuration of the RoF link employing (a) optical comb filter and (b) RF comb filter.

Fig.5.1(a) is shown in the inset. The function of the comb filter is explained from the standpoint of phase angles. If we can realize a 180 degree phase difference between the IM3 components at point A and those at B , the combined signal at point C will have no IM3 components. Assuming that the frequency (in MHz ) of the two tone carriers are f and $\mathrm{f}+\Delta \mathrm{f}$ as shown in Fig.5.1(a), the phase angle difference between the lower frequency IM3 component $\left(I_{L}\right)$ and upper frequency IM3 component $\left(I_{U}\right)$ shall be $360 n$ degree (where $n$ is a natural number) at point B. First, we have to find the electrical length of the long path $\left(L_{L}\right)$ for this condition. As the wavelength $\lambda[\mathrm{m}]$ of frequency $f$ is $300 / f$ when $f$ is expressed in MHz, the phase angles of $I_{L}$ and $I_{U}$ after transmission through $L_{L}[\mathrm{~m}]$ are $360 / 300 \cdot L_{L}(f-\Delta f)$ and $360 / 300 \cdot L_{L}(f+2 \Delta f)$ [degrees], respectively. Therefore, the following $L_{L}$ is needed

$$
\begin{equation*}
L_{L}=100 n / \Delta f[m] . \tag{5.1}
\end{equation*}
$$

$n=1$ is desirable to minimize $L_{L}$. In this condition, the phase angle of lower frequency carrier $\left(C_{L}\right)$, upper frequency carrier $\left(C_{U}\right), I_{L}$ and $I_{U}$ at point B are expressed as (in
degrees)

$$
\begin{align*}
\text { Phaseof } I_{L} @ B & =120 f / \Delta f-120  \tag{5.2}\\
\text { Phaseof } C_{L} @ B & =120 f / \Delta f  \tag{5.3}\\
\text { Phaseof } C_{U} @ B & =120 f / \Delta f+120  \tag{5.4}\\
\text { Phaseof } I_{U} @ B & =120 f / \Delta f+240 . \tag{5.5}
\end{align*}
$$

Next, the electrical length of short path $\left(L_{S}\right)$ is determined. The phase of the center frequency of the four components $(f+0.5 \Delta f)$ shall be 180 degree different from (5.2) and (5.5), that is $120 f / \Delta f+60$ [degree]. This value can be more than 360 degrees, so in order to minimize $L_{S}$, rem (remainder of $\left.(120 f / \Delta f+60) / 360\right)$ [degree] is employed. This means that the target $L_{S}$ is expressed by

$$
\begin{equation*}
L_{S}=5 /[6(f+0.5 \Delta f)] \cdot \operatorname{rem}[m] \tag{5.6}
\end{equation*}
$$

With this $L_{S}$, the phase angles of $C_{L}, C_{U}, I_{L}$ and $I_{U}$ at point A are expressed as (in degrees)

$$
\begin{align*}
\text { Phaseof } I_{L} @ A & =(f-\Delta f) /(f+0.5 \Delta f) \cdot r e m \approx r e m  \tag{5.7}\\
\text { Phaseof } C_{L} @ A & =f /(f+0.5 \Delta f) \cdot \text { rem } \approx \text { rem }  \tag{5.8}\\
\text { Phaseof } C_{U} @ A & =(f+\Delta f) /(f+0.5 \Delta f) \cdot r e m \approx r e m  \tag{5.9}\\
\text { Phaseof } C_{U} @ A & =(f+2 \Delta f) /(f+0.5 \Delta f) \cdot \text { rem } \approx \text { rem. } \tag{5.10}
\end{align*}
$$

The approximation in (5.7)-(5.10) is reasonable when $\Delta f \ll f$ and is useful in the shortening the design process since $\Delta f \ll f$ is normal in most radio communication systems. When $\Delta f$ is close to $f$, the comb filter technique is not effective. Without this approximation, the phase deviation $\Delta \theta$ of IM3 components is expressed as

$$
\begin{equation*}
\Delta \theta=1.5 \Delta f /(f+0.5 \Delta f) \cdot \text { rem }[\text { degree }] \tag{5.11}
\end{equation*}
$$

According to (5.1), longer $L_{L}$ is needed when $\Delta f$ becomes narrow, however, the attenuation in the optical fiber is negligible and so is not an issue. On the other hand, when $\Delta f$ becomes wide, the approximation in (5.7)-(5.10) is not reasonable and the phase difference of the IM3 components between point A and B deviates from 180 degrees. The IM3 cancellation level $S_{I M 3}$ with gain and phase deviations can be calculated as follows [5.9],

$$
\begin{equation*}
S_{I M 3}=-10 \log [1+10(\Delta A / 10)-2 \cdot 10(\Delta A / 20) \cos (\Delta \theta)][d B] \tag{5.12}
\end{equation*}
$$

where $\Delta A$ is amplitude deviation $[\mathrm{dB}]$. The output carriers at Point C have a phase difference of about 60 degrees so the insertion loss of the comb filter is about 1.7 dB , assuming that the transmission losses of the optical divider, optical coupler, and optical fibers are negligible.


Figure 5.2: Circuit configuration of the RoF link with RF-DBRF.


Figure 5.3: Fabricated RF-DBRF.

### 5.2.2 RoF Link with RF Comb Filter

Figure 5.1(b) shows the circuit configurations of the proposed RoF link with RF comb filter. In this arrangement, the comb filter is composed of an RF divider, RF coupler, and two RF transmission lines. The RF comb filter is placed after the PD. The distortion cancellation mechanism of the RF comb filter is basically the same as that of the optical comb filter, so equations (5.1)-(5.12) are also applicable.

According to (5.1), longer $L_{L}$ is needed when $\Delta f$ becomes narrow, and this would cause high insertion loss in the long path in the RF comb filter. When the attenuation difference between long and short paths is $A[\mathrm{~dB}]$, an attenuator with $A[\mathrm{~dB}]$ must be inserted in the short path, as shown in Fig.5.1(b). In this case, the insertion loss of the RF comb filter is calculated as follows.

$$
\begin{equation*}
\text { InsertionLoss }=1.7+A[d B] . \tag{5.13}
\end{equation*}
$$

This insertion loss can be reduced by using a 1:n coupler/divider with $n=$
$10(A / 20)$ instead of inserting an attenuator. When the tuning of $1: n$ is perfect and no attenuator is used in the short path, the insertion loss of the RF comb filter is calculated as follows

$$
\begin{equation*}
\text { InsertionLoss }=1.7+0.5 A[d B] \tag{5.14}
\end{equation*}
$$

The insertion loss caused by the 1:n coupler/divider in (5.14) is reduced to half that of (5.13). In this paper, we selected to employ the additional attenuator, so (5.13) was used.

### 5.2.3 RoF Link with RF-DBRF

In the field of microwave RF circuits, the use of RF-DBRF has been proposed for distortion reduction [5.16]. Figure 5.2 shows an RoF link with a RF-DBRF. The RF-DBRF is set after the PD in order to reduce the distortion caused by the LD, like the RF comb filter. In our case, the RF-DBRF is formed on a substrate with $\epsilon_{r}=$ 3.45 , thickness $=0.8 \mathrm{~mm}$. Two short-circuited quarter-wavelength stubs are closely placed with their open ends face to face. They couple to each other through the electrical field at their open ends, resulting in its equivalence to two coupled resonant circuits. As a result, the RF-DBRF exhibits steep slope characteristics. A fabricated RF-DBRF is shown in Fig.5.3.

### 5.3 Experimental Results

### 5.3.1 RoF Link with Optical Comb Filter

We made an RoF link prototype that employed an optical comb filter with normal single mode fibers for $\Delta f=5 \mathrm{MHz}$ in the 2 GHz band. In this case, the optical fiber's physical length of the long path $\approx 11[\mathrm{~m}]$. A distributed feedback (DFB) LD with bias current $=20 \mathrm{~mA}$ was used.

Figure 5.4 shows measured carrier and IM3 components of the RoF link prototype. As the insertion loss caused by the optical comb filter is around 10 dB , the measured result without the filter is shifted along the output axis, in order to match the carrier plot. We found that the polarization controller (PC), shown in Fig.5.1(a), is needed in one path. This is because the relative-intensity-noise (RIN) of the optical comb filter increases if the polarization plane is not adjusted [5.15]. The measured result without PC is also plotted in Fig.5.4. Figure 5.5 shows the measured output spectra when the RIN is changed by PC adjustment. It is observed that the RIN varies by more than 20 dB and the carriers and IM3 components are not changed, when the polarization state is changed. Thus we assume that the plot without PC in Fig.5.4 is correct when the RIN level is near maximum as shown in Fig.5.5(a). Without $\mathrm{PC}, \Delta A \approx 0 \mathrm{~dB}$ as the transmission loss of optical fibers are negligible. On the other hand, $\Delta A$ caused by the PC insertion is around 1 dB . Thus the measured IM3


Figure 5.4: Measured carrier and IM3 component of RoF link employing optical comb filter $(\Delta f=5 \mathrm{MHz})$.


Figure 5.5: Measured output spectra of RoF link employing optical comb filter when RIN is (a) maximum and (b) minimum ( $\Delta f=5 \mathrm{MHz}$ ).


Figure 5.6: Measured carrier and ACP of RoF link employing the optical comb filter (W-CDMA modulated, $\Delta f=5 \mathrm{MHz}$ ).


Figure 5.7: Measured output spectra of RoF link, (a) without the comb filter and (b) with the comb filter (W-CDMA modulated, $\Delta f=5 \mathrm{MHz}$ ).


Figure 5.8: Measured S21 of RoF link employing optical comb filter for (a) $\Delta f=5$ MHz and (b) $\Delta f=100 \mathrm{MHz}$ with temperature variation.
components without PC, shown in Fig.5.4, are lower than those with PC when the input power exceeds -10 dBm (in this region, output power of IM3 components is stronger than the RIN level). As a result, both the upper and lower frequency IM3 components are improved by around 20 dB compared to the values without the filter. The calculated IM3 component with the filter plotted in Fig.5.4 was calculated using (5.12). The measured values with PC and calculated results agree with each other.

Figure 5.6 shows the measured carrier and adjacent channel power (ACP) of the RoF link prototype in the case of W-CDMA modulated two tone carriers ( 3.84 MHz bandwidth) instead of continuous waves. The improvement in ACP is reduced to around 12 dB in this case. This is because the 3.84 MHz bandwidth is not negligible compared to $\Delta f$. Figure 5.7 shows the output spectra of the RoF link prototype for the input power of -5 dBm . Figure $5.7(\mathrm{~b})$ shows that the rejection bandwidth is much narrower than 3.84 MHz . As shown in Fig.5.6, the noise level with the filter is of the same level as that without the filter, despite the 10 dB plot shift along the output axis. This is because the PC adjustment minimized the noise level as shown in Fig.5.5(b). Therefore, it can be said that the optical comb filter can reduce the noise level ( 10 dB in Fig.5.6) as well as distortion.

Figure 5.8 shows measured $S_{21}$ of the RoF link with the optical comb filter. Ports 1 and 2 are defined in Fig.5.1(a), and temperature was changed from $-10{ }^{\circ} \mathrm{Cto}+50$ ${ }^{\circ} \mathrm{C}$. At $25{ }^{\circ} \mathrm{C}$, the measurement was done without employing a temperature chamber. At $-10^{\circ} \mathrm{Cand}+50^{\circ} \mathrm{C}$, the $S_{21}$ plot is not smooth. This is because of vibration caused by wind in the temperature chamber. As shown in Fig.5.8, rejection frequency varies around 5 MHz in this temperature range for both $\Delta f=5 \mathrm{MHz}$ and $\Delta f=100 \mathrm{MHz}$. This is quite large in the $\Delta f=5 \mathrm{MHz}$ case, but negligible in the $\Delta f=100 \mathrm{MHz}$ case.

### 5.3.2 RoF Link with RF Comb Filter

An RoF link prototype with RF comb filter for $\Delta f=5 \mathrm{MHz}$ and 100 MHz in the 2 GHz band was also evaluated. In this case, the RF coaxial cable's physical length of the long path $\approx 14[\mathrm{~m}]$ and $1[\mathrm{~m}]$, respectively. Figure 5.9 shows measured $S_{21}$ of the ROF link with RF comb filter. Ports 1 and 2 are defined in Fig.5.1(b), and temperature was changed from $-10^{\circ} \mathrm{Cto}+50^{\circ} \mathrm{C}$. The rejection frequency varies around 5 MHz in this temperature range, for both $\Delta f=5 \mathrm{MHz}$ and 100 MHz . This is quite large in the $\Delta f=5 \mathrm{MHz}$ case shown in Fig.5.9(a), but negligible in the $\Delta f=100$ MHz case shown in Fig.5.9(b). Attenuator $A$ was set to 8 dB and 0 dB for $\Delta f=5$ MHz and 100 MHz , respectively, so insertion loss levels of the RF comb filters were around 10 dB and 2 dB for $\Delta f=5 \mathrm{MHz}$ and 100 MHz , respectively. The insertion loss level for $\Delta f=5 \mathrm{MHz}$ can be improved by 4 dB by applying (5.14). $S_{21}$ plots of the RF comb filters are stable against vibration, unlike the optical comb filter.

Figure 5.10 shows the measured carrier and adjacent channel power (ACP) of the ROF link prototype with RF comb filter for $\Delta f=5 \mathrm{MHz}$ with W-CDMA modulated two tone carriers. The improvement in ACP is around 12 dB. As shown in Fig.5.9(a),


Figure 5.9: Measured S 21 of RoF link employing RF comb filter for (a) $\Delta f=5 \mathrm{MHz}$ and (b) $\Delta f=100 \mathrm{MHz}$ with temperature variation.


Figure 5.10: Measured carrier and ACP of RoF link employing the RF comb filter ( $\Delta f=5 \mathrm{MHz}$, W-CDMA modulated).


Figure 5.11: Measured carrier and IM3 component of RoF link employing RF comb filter $(\Delta f=100 \mathrm{MHz}$, RBW $=30 \mathrm{kHz})$.


Figure 5.12: Measured output spectra of RoF link, (a) with the RF comb filter and (b) without the RF comb filter ( $\Delta f=100 \mathrm{MHz}$ ).


Figure 5.13: Measured S21 of RoF link employing RF-DBRF for $\Delta f=100 \mathrm{MHz}$ with temperature variation.
the insertion loss is 10 dB so the plot without the filter was shifted along the output axis by 10 dB , as in Fig.5.6. As a result, in consideration of the 10 dB shift, the filter reduces the noise level by around 3 dB . The output spectrum in this case is similar to Fig.5.7(b).

Figure 5.11 shows the measured carrier and IM3 component of the RoF link prototype with RF comb filter for $\Delta f=100 \mathrm{MHz}$. The improvement in IM3 component is over 20 dB . As shown in Fig.5.9(b), insertion loss level is only 2 dB so the plot without the filter is not shifted along output axis in this figure, unlike Figures 5.4, 5.6, and 5.10. In this measurement, resolution bandwidth (RBW) of the spectrum analyzer was fixed to 30 kHz even at the small output level, unlike Fig.5.4. Fig. 5.11 shows that the filter improves the noise level by more than 3 dB . So it can be said that the RF comb filter can slightly reduce the noise level, but its reduction effect is not as strong as that observed in Fig.5.6. The measured output spectra with and without the filter are shown in Fig.5.12. As shown in Fig.5.12(a), the noise level at rejection frequencies of the RF comb filter ( 1.9 and 2.2 GHz ) is reduced by more than 3 dB .


Figure 5.14: Measured carrier and IM3 component of RoF link employing RF-DBRF $(\Delta f=100 \mathrm{MHz}$, RBW $=30 \mathrm{kHz})$.


Figure 5.15: Measured output spectra of RoF link, (a) with the RF-DBRF and (b) without the RF-DBRF ( $\Delta f=100 \mathrm{MHz}$ ).

Table 5.1: Summary of RoF link with three filters.

|  | IM3 <br> improv. | ACP improv. <br> $(\Delta f=5 \mathrm{MHz})$ | Ins.loss <br> $(\Delta f=5 / 100 \mathrm{MHz})$ | Noise <br> reduct. | Remarks |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Opt.comb | $\geq 20 \mathrm{~dB}$ | 12 dB | 10 dB | 10 dB | poor stability |
| RF comb | $\geq 20 \mathrm{~dB}$ | 12 dB | $10 / 2 \mathrm{~dB}$ | $\geq 3 \mathrm{~dB}$ |  |
| RF-DBRF | $\geq 30 \mathrm{~dB}$ | N.A. | N.A. $/ 1 \mathrm{~dB}$ | $\geq 3 \mathrm{~dB}$ | flat pass band |

### 5.3.3 RoF Link with RF-DBRF

An RoF link prototype employing an RF-DBRF for $\Delta f=100 \mathrm{MHz}$ at 2 GHz band was also evaluated. Figure 5.13 shows measured $S_{21}$ of the RoF link with RF comb filter, when the temperature was changed from $-10^{\circ} \mathrm{Cto}+50^{\circ} \mathrm{C}$. Rejection frequency deviation is within 5 MHz in this temperature range. Furthermore, comparing Fig.5.13 with Figs.5.8 and 5.9, RF-DBRF is shown to have a steep rejection shape and flat pass band properties from 1.85 to 2.05 GHz . This characteristic has an advantage in that the carriers are not affected by the filter. The insertion loss level is within 1 dB. This prototype employed a planar circuit filter with $\Delta f=100 \mathrm{MHz}$, however, $\Delta f$ can be reduced by employing the waveguide construction [5.16]. The RF-DBRF is expected to reduce wideband distortion if an RF high pass filter (HPF) and a low pass filter (LPF) are added.

Figure 5.14 shows the measured carrier and IM3 component of the RoF link prototype with RF-DBRF. The improvement in IM3 component is over 30dB. As shown in Fig.5.13, insertion loss level is under 1 dB so the plot without the filter is not shifted along the output axis and RBW is fixed to 30 kHz , as in Fig.5.11. Figure 5.14 shows that the addition of the filter improves the noise level by more than 3 dB. The measured output spectra with and without the filter are shown in Fig.5.15. As shown in Fig. 5.15(a), noise level is reduced at the rejection frequencies of the RF-DBRF (1.8 and 2.1 GHz).

### 5.4 Conclusion

Three distortion reduction filters for radio-on-fiber systems are proposed and evaluated in the 2 GHz band. These filters have simple construction as they are composed of all passive components, and can reduce IM3 (spurious) components even in the saturation region, unlike conventional linearizers. The optical comb filter can reduce IM3 components by more than 20 dB and the noise level by around 10 dB , but insertion loss is large and stability against vibration is very poor. The proposed RF comb filter can reduce IM3 components by more than 20 dB and reduce noise by more than 3 dB ; its insertion losses are 2 and 10 dB for frequency separations of $\Delta f=$ 100 MHz and 5 MHz , respectively. A RF-DBRF for $\Delta f=100 \mathrm{MHz}$ can reduce IM3
components by more than 30 dB and noise level by more than 3 dB . These results are summarized in Table 5.1. It can be said that RF-DBRF has the best properties for $\Delta f=100 \mathrm{MHz}$. Frequency deviations in the temperature range of $-10{ }^{\circ} \mathrm{Cto}+50$ ${ }^{\circ}$ Care within 5 MHz for all filters. The RF comb filter and the RF-DBRF are stable against vibration, so these filters will be powerful solutions for the introduction of radio-on-fiber to wideband communication systems.

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## Chapter 6

## Wideband Balun for Radio-on-Fiber (RoF) System with Dual-Drive Mach-Zehnder Modulator


#### Abstract

Existing radio-on-fiber (RoF) systems offer only an unbalanced (single-ended) radio frequency (RF) interface. In order to realize a balanced RF interface at the input, we propose to use a dual-drive Mach-Zender modulator as a wideband balan. The performance of this configuration is evaluated. An experiment using mixed-mode S parameters shows that the proposed arrangement offers common mode rejection ratio (CMRR) of at least 15 dB and insertion loss of $41 \pm 2 \mathrm{~dB}$ from 20 MHz to 6 GHz (bandwidth $\approx 199 \%$ ). Furthermore, the addition of an erbium-doped fiber amplifier (EDFA) improves the performance (CMRR over 30 dB and insertion loss of $8 \pm 2 \mathrm{~dB}$ ). It is also validated that the proposed arrangement can be used as balun upto 18 GHz , from the comparison between CMRR and inbalances measured by the conventional network analyzer. Additionally, the received light power at the two types of photo diode is optimized from the standpoint of insertion loss, CMRR, and return loss. It is found that the optimum received light power depends on the type of photo diode.


### 6.1 Introduction

Radio-on-fiber (RoF) systems are widely used for wireless communication networks. RoF systems have RF input (E/O; electric to optical conversion) and output (O/E) ports, and most ports are unbalanced (single-ended) [6.1]-[6.5]. However, in the RF circuit field, balanced ports are often used for microwave communication systems, e.g., mobile phone terminals [6.6]. Even systems in the millimeter wave region have started to apply balanced ports [6.7]. In another important trend, RoF systems are also being used in precise electric field measurement systems as it can reduce measurement distortion and realize long distance transmission [6.8]. Many of the antennas used have balanced ports (e.g. dipole antenna [6.9]). These facts confirm


Figure 6.1: Applying proposed RoF link to support (a) balanced RF circuit and (b) balanced antenna system.
that baluns are needed between balanced RF devices and RoF systems, but there are difficulties to implement a wideband balun [6.10].

This Chapter proposes an RoF link that uses two input ports of dual-drive MachZender modulator (DD-MZM) [6.11] to realize wideband balun properties. The link has a balanced input RF port and an unbalanced output RF port. Our target is to obtain a common mode rejection ratio (CMRR) of at least 15 dB . As it can be expected that the DD-MZM has wideband property, the proposed link is evaluated as wideband balun. Two applications of this RoF link are shown in Fig.6.1. Figure 6.1(a) shows it supporting a balanced RF circuit; Fig.6.1(b) shows it supporting an balanced antenna system. In both cases, the balun is not needed with proposed RoF link.

First, in this Chapter, the circuit configuration of the proposed RoF link is shown in Section 6.2. Then, the experimental results of the balun, mixed-mode $S$ parameters upto 6 GHz together with inbalances upto 18 GHz are shown and discussed in Section 6.3. Additionally, in Section 6.4, optimised received light power at the photodiode (PD) from the standpoint of insertion loss, CMRR, and return loss is shown. In Sections 6.3 and 6.4, RoF link employing uni-traveling-carrier photodiode (UTCPD) [6.13] and positive-intrinsic-negative photodiode (PIN-PD) are measured and compared.


Figure 6.2: Circuit configuration of the proposed RoF link with wideband balun functionality.


Figure 6.3: Configuration of dual-drive Mach-Zender modulator.

### 6.2 Circuit Configuration

Figure 6.2 shows the circuit configuration of the proposed RoF link. The light with wavelength $\lambda=1553 \mathrm{~nm}$ is emitted from a distributed feedback laser diode (DFBLD) with RIN $\approx-163 \mathrm{~dB} / \mathrm{Hz}$ at 2 GHz . The light is injected to a commercial DD-MZM after its polarization is set in a polarization controller so as to maximize the extinction ratio. At the DD-MZM, balanced RF signals modulate the light. After transmission through the transmission optical fiber (single-mode), the light is detected by a PD. Two types of PD, UTC-PD that with wideband property and PIN-PD with narrow band property were employed. The erbium doped fiber amplifier (EDFA) accompanied with optical variable attenuators (OVAs) shown in Fig.6.2 is optional. The effect of the EDFA is described in detail in the following sections.

The configuration of employed DD-MZM is shown in Fig.6.3. It is commercially available z-cut modulator with $V_{\pi}=5.1 \mathrm{~V}$, optical insertion loss $=4.6 \mathrm{~dB}$, extinction ratio $=45 \mathrm{~dB}$. The ground of a pair of ports used as port 2 (balanced) and bias port are electrically connected to the ground of DD-MZM, as shown in Fig.6.3. The z-cut modulator has property that the convergence of electrical flux line varies signal phase very much, but chirp is degraded as the signal phase change is not same in hot and ground electrodes. In case of DD-MZM, two signals with same amplitude and oposite phase are injected into two electrodes, in order to obtain zero-chirp [6.11]. So we can expect that DD-MZM can be wideband balun, when two electrodes are used as balanced port.

In normal balun, one port of balanced port is connected to ground of unbalanced port [6.9]. However, the port with connection to ground cannot modulate light, so the balanced port is not connected to ground in this case. In the configuration shown in Fig.6.3, The ground of DD-MZM and that of PD is not connected electrically. This is because if those grounds are connected, electric line between DD-MZM and PD is needed so long distance transmission with low loss and low distortion measurement of electric field cannot be done. With this configuration, the degradation of transmission performance will be worried when the operation frequency reaches DC. But it is verified by the experiments that the transmission performance never degraded when operating frequency is higher than 30 kHz .

### 6.3 Experimental Results

The circuit shown in Fig.6.2 is elavuated experimentally as a wideband balun. We used a four-port network analyzer in order to measure the mixed-mode $S$ parameters [6.12]. The measured S parameters with three single-ended ports (one for port 1 and two for port 2, see Fig.6.2) were converted into mixed-mode S parameters. $S_{S D 12}$ refers to the transmission characteristics from port 2 (differential mode) to singleended port 1, so a large value (i.e., small insertion loss) is desired. $S_{S C 12}$ refers to the transmission characteristics from port 2 (common mode; it is undesired) to
single-ended port 1 , so a small value is desired. CMRR is calculated as follows

$$
\begin{equation*}
C M R R=S_{S D 12}-S_{S C 12}[d B] \tag{6.1}
\end{equation*}
$$

Our target is CMRR $\geq 15 \mathrm{~dB}$ in this case. This target value is deternmined from the measured result of rat-race balun [6.14] and commercially available wideband ferrite balun [6.15]. As for the reflection characteristics, $S_{D D 22}$ at port 2 and $S_{S S 11}$ at port 1 are mainly determined by balun performance. The target value of these reflection $S$ parameters are return loss $\geq 14 \mathrm{~dB}$. This value is also deternmined from measured result, same as CMRR. As the single-ended impedances of three ports are all 50 ohms in our case, the impedances of balanced port 2 is 100 ohms . This value can be changed by designing of DD-MZM.

In this Chapter, the RoF link is mainly evaluated by the mixed-mode $S$ parameters. However, RoF link employing UTC-PD, which has wideband property, is evaluated by mixed-mode $S$ parameters and imbalances. In the case of imbalance measurement, the port 2 (balanced) shown in Fig.6.2 is used as port 2 and 3, and single-ended 3 port measurement was done. In Fig.6.2, input port is port 2 and output port is port 1 . This port numbers setting is selected in order to maintain port number 1 in both measurement methods. Mixed-mode $S$ parameters were measured upto 6 GHz (due to limitation of four-port network analyzer). Imbalances were measured upto 18 GHz .

### 6.3.1 RoF Link employing UTC-PD

Figure 6.4 shows the measured mixed-mode $S$ parameters of the proposed RoF link employing UTC-PD without an EDFA. $S_{S D 12}$ is almost constant (from -39 dB to -43 dB) from 20 MHz to 6 GHz so the insertion loss of the proposed link is $41 \pm 2 \mathrm{~dB}$. This is not small, but RF amplifiers after UTC-PD can recover this insertion loss. CMRR more than 15 dB is obtained from Fig.6.4(a), so our target is achieved by the configuration without an EDFA. Reflection characteristics are shown in Fig. 6.4(b). Return losses are more than 20 dB in both ports 1 and 2.

As mentioned above, the target CMRR is cleared without EDFA. However, Fig.6.4(a) shows that $S_{S C 12}$ contains noises very much. It is estimated that the noises are mainly caused by PD (shot noise or thermal noise), as the received light power at UTC-PD is around - 2 dBm . So we can expect that $S_{S C 12}$ can be suppressed relative to $S_{S D 12}$ (i.e. CMRR is increased) by enlarging the light power. Therefore, EDFA shown in Fig.6.2 is added. The OVAs in front and behind of EDFA is employed for the protection of EDFA, and their attenuation levels were set to 0 dB at the time of measurement (the insertion loss of the OVA is around 2 dB when the attenuation level is set to 0 dB ). The noise figure of the EDFA is 7.5 dB . Figure 6.5 shows the mixed-mode S parameters of the proposed RoF link with UTC-PD when EDFA is employed. The received light power at PD is adjusted to +19 dBm , and the reason for this value is investigated in detail in Section 6.4. Figure 6.5(a) shows that the $S_{S D 12}$ is improved


Figure 6.4: Measured mixed-mode S parameters of the proposed ROF link without EDFA, (a) transmission characteristics and (b) reflection characteristics.


Figure 6.5: Measured mixed-mode S parameters of the proposed ROF link with EDFA, (a) transmission characteristics and (b) reflection characteristics (received optical power at $\mathrm{PD}=+19 \mathrm{dBm}$ ).


Figure 6.6: Measured imbalances of the proposed RoF link employing UTC-PD, (a) without EDFA and (b) with EDFA.
due to the insertion of EDFA, so the insertion losses are $8 \pm 2 \mathrm{~dB}$ from 20 MHz to 6 GHz. As is expected, the increase of $S_{S C 12}$ is suppressed (not equal to that of $S_{S D 12}$ ), so CMRR is improved and is more than 30 dB .

As is observed in Fig.6.5(b), $S_{S S 11}$ is degraded by the addition of EDFA. But the return loss is still more than 14 dB so it is enough for normal operation. It is supposed that the degradation of $S_{S S 11}$ is caused by the increase of received light power at UTC-PD, and is investigated in Section 6.4. $S_{S S 22}$ is not changed by the addition of EDFA.

In order to investigate the performances at higher frequency range, $S$ marameters were measured by the single-ended network analyzer from 50 MHz to 18 GHz . The input port shown in Fig.6.2 is used as ports 2 and 3, in this measurement. Figure 6.6 shows the measured amplitude imbalance ( $S_{12}[\mathrm{~dB}]-S_{13}[\mathrm{~dB}]$ ) and phase imbalance ( $S_{12}$ [degree] - $S_{13}$ [degree] - 180). Fig.6.6(a) is for RoF link without EDFA and Fig.6.6(a) is for RoF link with EDFA. The imbalances $\pm 1.0 \mathrm{~dB}$ and $\pm 5$ degrees without EDFA are improved by the addition of EDFA to imbalances $\pm 0.3 \mathrm{~dB}$ and $\pm 3$ degrees. It is supposed that these imbalances are improved due to the reduction of noises of $S_{S C 12}$ by the addition of EDFA. In other word, we can say that CMRR improvement shown in Fig. 6.4 is caused by the improvement of the imbalances by the addition of EDFA. In $6-18 \mathrm{GHz}$, the imbalances $\pm 1.8 \mathrm{~dB}$ and $\pm 11$ degrees without EDFA are improved by the addition of EDFA to imbalances $\pm 1.1 \mathrm{~dB}$ and $\pm 3$ degrees. So we can say that the improvement by the additon of EDFA is observed when frequency is higher than 6 GHz . The imbalance with EDFA in $6-18 \mathrm{GHz}$ is better


Figure 6.7: Measured mixed-mode S parameters of the proposed RoF link employing PIN-PD, (a) without EDFA and (b) with EDFA.
than imbalance without EDFA under 6 GHz , so it is supposed that the RoF link with EDFA can achieve CMRR $\geq 15 \mathrm{~dB}$ in $6-18 \mathrm{GHz}$ range.

### 6.3.2 RoF Link employing PIN-PD

Figure 6.7 shows the measured mixed-mode $S$ parameters of the proposed RoF link employing UTC-PD. Figure 6.7(a) is without EDFA (received light power at PD is +10 dBm ) and Fig.6.7(b) is with EDFA, respectively. The bandwidth of the PD employed is upto around 4.5 GHz . However, its performances (insertion loss $\approx 30$ $\mathrm{dB}, \mathrm{CMRR} \geq 30 \mathrm{~dB}$ ) without EDFA is better than those employing UTC-PD without EDFA. The reason to this difference is supposed that the conversion efficiency of PIN$\mathrm{PD}(0.85 \mathrm{~mA} / \mathrm{mW})$ is greater than that of UTC-PD $(0.55 \mathrm{~mA} / \mathrm{mW})$. When employing EDFA, the insertion loss is improved to around 15 dB , but CMRR is almost same level to that without EDFA. The reason to this constant CMRR is supposed that the noise caused by PIN-PD is less than the relative intensity noise caused by other components. So, when employing PIN-PD, EDFA is not mandatory and RF amplifier after PIN-PD will be practical. $S_{S S 22}$ is not changed by the addition of EDFA, same as in case of UTC-PD. $S_{S S 11}$ is around -1 dB so matching is not in good condition, because the load impedance attached to PIN-PD is selected to 1 kohms (in order to obtain higher output power). The reflection performance will be described in detail in Section 6.4, including the effect of the change of received light power.

### 6.4 Optimization of Received Light Power at Photo Diode

As described in the previous Section, increasing the received light power at the UTCPD is an effective way of improving CMRR and insertion loss, but the cost is a degradation in return loss at port 1 . In order to find the optimum received light power at UTC-PD, mixed-mode S parameters were measured for different EDFA output powers. Same measurements were done for RoF link employing PIN-PD, and the optimum received light power will be discussed.

### 6.4.1 RoF Link employing UTC-PD

Figure 6.8 shows measured $S_{S D 12}$ versus received light power at UTC-PD. Six frequency points $(0.66 \mathrm{G}, 1.46 \mathrm{G}, 2.86 \mathrm{G}, 3.48 \mathrm{G}, 4.76 \mathrm{G}$ and 5.22 GHz ) were sampled from Fig.6.4(b). These frequency points were selected because $S_{S C 12}$ peaks at these frequencies in Fig.6.4(b), and thus the values represent the worst CMRR (refer to Fig.6.9). From Fig.6.8, we can find that $S_{S D 12}$ increases when received light power rises up to +18 dBm . However, when the power exceeds $+20 \mathrm{dBm}, S_{S D 12}$ falls quickly. So the minimum insertion losses are obtained with received light powers from +18 dBm to +20 dBm .


Figure 6.8: Measured $S_{S D 12}$ versus received optical power at PD (employing UTCPD).


Figure 6.9: Measured CMRR versus received optical power at PD (employing UTCPD).


Figure 6.10: Measured $S_{S S 11}$ versus received optical power at PD (employing UTC$\mathrm{PD})$.

The measured CMRR versus received light power is shown in Fig.6.9. Fig.6.9 is slightly complicated as $S_{S C 12}$ contains noise, as shown in Fig.6.4(b). However, we can say that CMRR is almost constant against received light power from +13 dBm to +24 dBm . When received light power decreases from +13 dBm, CMRR also decreases as the noise caused by UTC-PD starts to be dominant.

Measured $S_{S S 11}$ versus received light power is shown in Fig.6.10. Figure 6.10 shows that $S_{S S 11}$ is almost constant when received light power is under +16 dBm . When the power increases over $+16 \mathrm{dBm}, S_{S S 11}$ increases quickly. It is supposed that this increase of $S_{S S 11}$ is caused by the saturation of UTC-PD. So, the received light power must be limited to +19 dBm if return loss must be greater than 14 dB .

Overall, received light power at UTC-PD of around +19 dBm is optimum to terms of less insertion loss, large CMRR, and large return loss. The measured results shown in Fig.6.4(b) satisfy this condition. It is supposed that this optimum received light power depends on the types of PD, so same measurements were done using the RoF link employing PIN-PD.

### 6.4.2 RoF Link employing PIN-PD

Figure 6.11 shows measured $S_{S D 12}$ versus received light power at UTC-PD. Six frequency points $(0.66 \mathrm{G}, 1.46 \mathrm{G}, 1.76 \mathrm{G}, 2.88 \mathrm{G}, 3.1 \mathrm{G}$ and 4.28 GHz$)$ were sampled from Fig.6.7(b), same as in Fig.6.11. These frequency points were selected because $S_{S C 12}$ peaks at these frequencies in Fig.6.7(b), under 4.5 GHz of PIN-PD's bandwidth. From Fig.6.11, we can find that $S_{S D 12}$ increases when received light power rises up to +10 dBm . However, when the power exceeds $+10 \mathrm{dBm}, S_{S D 12}$ falls quickly. So the minimum insertion losses are obtained with output powers from +8 dBm to +10 dBm .

The measured CMRR versus received light power is shown in Fig.6.12. Fig.6.12 is slightly complicated as $S_{S C 12}$ contains noise, same as in Fig.6.9. However, we can say that CMRR is almost constant against received light power from +3 dBm to +11 dBm . When received light power decreases from +3 dBm , CMRR also decreases as the noise caused by PIN-PD starts to be dominant. And only in the case of PIN-PD, when the power exceeds $+11 \mathrm{dBm}, S_{S D 12}$ falls quickly.

Measured $S_{S S 11}$ versus received light power is shown in Fig.6.13. As is described in Section 6.3, impedance of used PIN-PD is not matched to 50 ohms, so the return loss is around $1-2 \mathrm{~dB}$. However, it is almost constant when received light power is under +10 dBm . When the power increases over $+10 \mathrm{dBm}, S_{S S 11}$ decreases quickly. It is supposed that this change of $S_{S S 11}$ is caused by the saturation of PIN-PD, same as in UTC-PD. This change is good for impedance matching, however, when the PIN-PD is matched to 50 ohms, the change is not desirable. So, the received light power must be limited to +10 dBm .

Overall, received light power at PIN-PD of around +10 dBm is optimum to terms of less insertion loss, large CMRR, and large return loss. The measured results shown in Fig.6.7(b) satisfy this condition. It is cleared that the optimum received light power


Figure 6.11: Measured $S_{S D 12}$ versus received optical power at PD (employing PIN$\mathrm{PD})$.


Figure 6.12: Measured CMRR versus received optical power at PD (employing PIN$\mathrm{PD})$.


Figure 6.13: Measured $S_{S S 11}$ versus received optical power at PD (employing PINPD).
deponds on the types of PD.

### 6.5 Conclusion

We propose to add a dual-drive Mach-Zender modulator to an RoF (radio-over-fiber) link to provide it with wideband balun functionality. Its performance was evaluated in terms of mixed-mode S parameters. The proposed link exhibits CMRR (common mode rejection ratios) of more than 15 dB , return loss of more than 20 dB , and insertion loss of $41 \pm 2 \mathrm{~dB}$ from 20 MHz to 6 GHz (bandwidth $\approx 199 \%$ ) due to the use of microwave photonics technology. An EDFA (erbium doped fiber amplifier) can be employed in order to improve the link's CMRR and insertion loss. With the addition of an EDFA yielded CMRR over 30 dB and insertion loss $8 \pm 2 \mathrm{~dB}$. The comparison between measured CMRR upto 6 GHz and measued imbalances upto 18 GHz shows that the proposed link can be used as balun upto 18 GHz . Optimum received light power at the link's photo diode was investigated from the standpoint of insertion loss, CMRR, and return loss. It was found that the received light powers of +19 dBm and +10 dBm are optimum for UTC-PD and PIN-PD, respectively. Due to its wideband properties, the proposed link is expected to be a powerful candidate for RoF systems that support balanced RF circuits and precise antenna measurement systems.

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## Chapter 7

## Conclusions

### 7.1 Overall Conclusions

Based on the growing demand for multiband/wideband handsets, several analog frontend components with high linearity are proposed in this dissertation. Two groups of analog components are studied; the one covers antennas and switches, the other covers radio-on-fiber (RoF) systems. Current analog front-end components including antennas, switches, divider/combiners, amplifiers and so on, are basically designed to operate at a single frequency with a narrow frequency band. To provide the high linearity needed, this study introduces antenna elements with different widths in a dipole construction to realize wideband antennas, and a multiband pin diode switch that uses a ladder circuit configuration.

Many current analog front-end components are based on non-linear (active) devices and the resulting distortion raises in-band and out-of-band spurious components. Therefore, predistortion type equi-path linearizer and optical/RF comb filters are applied to RoF systems. Also, an RoF link with a dual-drive Mach-Zender modulator as a wideband balan is proposed in order to realize a balanced RF interface at the input. These components can be used together as shown in Fig.1.1, in order to realize software defined radio systems and/or cognitive radio systems.

### 7.2 Conclusions from Chapter 2

Multiband SPDT switch design and fabrication was discussed for purpose of reducing the cost and increasing the reliability. The switch proposed demostrated isolation greater than 20 dB and insertion loss less than 2 dB at three different frequency bands: $1.6 \mathrm{GHz}, 2.5 \mathrm{GHz}$, and 5.8 GHz . The use of the ladder circuit realizes a simple circuit configuration, the number of frequency bands is not limited to three, and the frequency range is not limited to $1-6 \mathrm{GHz}$. Simulations that considered the parasitic elements gave good agreement with the measured results. Ten prototype switches were fabricated on the lumped and semi-microstrip model to measure the variation
in switching characteristics for future mass production. Their variation in terms of resonance frequencies was investigated in detail. The semi-microstrip configuration gave quite stable resonance frequencies and the variation width was just $25 \%$ or so of that of the lumped element switch. It was also found by measurements that the ladder circuit configuration did not influence switching speed or signal distortion. The prototypes showed good temperature stability from $-30^{\circ} \mathrm{Cto}+85^{\circ} \mathrm{C}$. It was also found that the isolation of the multi-band switch can be improved by adding pin diodes without degrading the insertion losses. The switch configuration introduced here may become the key device in implementing bi-directional multi-band automobile communication systems.

### 7.3 Conclusions from Chapter 3

A folded loop antenna and a planer folded dipole antenna with wideband characteristics were proposed. While both antennas have the same characteristics, the folded loop antenna is suitable for compact applications and the folded dipole antenna is suitable for planer applications. The antennas have simple planar construction without a ground plane and are easy to assemble. Parameters for wideband and compact properties were elucidated by using an electromagnetic simulator based on the method of moments. Experimental results centered at 1.7 GHz for 50 ohm impedance matching show that both antennas have the bandwidth of more than $55 \%$ (VSWR $\leq 2$ ). The simulated and experimental results are in good agreement, and the gains of the antenna are almost constant (around 2 dBi ) in this frequency band. The radiation patterns are very similar to those of a normal dipole antenna except for the cross polarization components, and it was also shown that the antenna has the self-balanced impedance property in this frequency band.

### 7.4 Conclusions from Chapter 4

A predistortion type equi-path linearizer was combined with a Fabry-Perot laser diode to create an RoF system based on W-CDMA. IM3 components are improved by over 20 dB in the RF frequency band of more than 60 MHz . The $\mathrm{C} / \mathrm{N}$ level is not changed by the addition of the linearizer and the RoF link meets the specifications (IM3 $\leq-65 \mathrm{dBc}$ and $\mathrm{C} / \mathrm{N} \geq 52 \mathrm{~dB}$ ) with OMI range from $10 \%$ to $30 \%$. The proposed configuration exhibits good temperature stability from $10{ }^{\circ} \mathrm{Cto} 40{ }^{\circ} \mathrm{Cwith}$ a simple control circuit. It can be said that the improved stability is obtained by increasing the complexity of the RF circuit. However, compared to the feedforward technique (excluding temperature control circuit), the expensive optical portion is reduced and total circuit complexity is about the same. The circuit size of the prototype is quite large, but can be reduced by employing compact couplers and additional phase shifters from the first design stage.

It was also found that the phase difference between the carrier and the IM3 component generated by the non-linearity of the Fabry-Perot and distributed feedback laser diode is shifted by 90 degrees from that of the RF amplifier. This is, to our knowledge, the first report of this phase shift. This 90 degree phase shift was validated in experiments at various RF frequencies, frequency separations, and input powers.

### 7.5 Conclusions from Chapter 5

Three distortion reduction filters for radio-on-fiber systems were proposed and evaluated in the 2 GHz band. These filters have simple construction as they are composed of all passive components, and can reduce IM3 (spurious) components even in the saturation region, unlike conventional linearizers. The optical comb filter can reduce IM3 components by more than 20 dB and the noise level by around 10 dB , but insertion loss is large and stability against vibration is very poor. The proposed RF comb filter can reduce IM3 components by more than 20 dB and reduce noise by more than 3 dB ; its insertion losses are 2 and 10 dB for frequency separations of $\Delta f=100$ MHz and 5 MHz , respectively. An RF-DBRF for $\Delta f=100 \mathrm{MHz}$ can reduce IM3 components by more than 30 dB and noise level by more than 3 dB . These results are summarized in Table 5.1. It can be said that RF-DBRF has the best properties for $\Delta f=100 \mathrm{MHz}$. Frequency deviations in the temperature range of $-10{ }^{\circ} \mathrm{Cto}+50$ ${ }^{\circ}$ Care within 5 MHz for all filters. The RF comb filter and the RF-DBRF are stable against vibration.

### 7.6 Conclusions from Chapter 6

A proposal was made to add a dual-drive Mach-Zender modulator to an RoF link to provide it with wideband balun functionality. Its performance was evaluated in terms of mixed-mode $S$ parameters. The proposed link exhibits CMRR (common mode rejection ratios) of more than 15 dB , return loss of more than 20 dB , and insertion loss of $41 \pm 2 \mathrm{~dB}$ from 20 MHz to 6 GHz (bandwidth $\approx 199 \%$ ) due to the use of microwave photonics technology. An EDFA (erbium doped fiber amplifier) can be employed in order to improve the link's CMRR and insertion loss. With the addition of an EDFA, it yielded CMRR of over 30 dB and insertion loss of $8 \pm 2 \mathrm{~dB}$. A comparison between measured CMRR up to 6 GHz and measured imbalances up to 18 GHz showed that the proposed link can be used as balun operating up to 18 GHz . Optimum received light power at the link's photo diode was investigated from the standpoint of insertion loss, CMRR, and return loss. It was found that the received light powers of +19 dBm and +10 dBm are optimum for UTC-PD and PIN-PD, respectively.

### 7.7 Future analog front-end components

The demand for multiband/wideband operation will only continue to increase. One of the candidates for wideband operation is microwave photonics (MWP) technology. As is mentioned in Chapter 6, photonic components have very wide bandwidth. So there is the possibility of extreme wideband operation through the use of MWP technology. The radio-on-fiber system mentioned in Chapters 4, 5, and 6 is an example of MWP applications.

Metamaterial technology is an exciting candidate. In particular, the so called composite right left handed (CRLH) construction offers non linear dispersion (phase) characteristics. Applying CRLH construction will yield compact and multiband/wideband components.

Of course, advances in micro electro mechanical system (MEMS) will also produce new types of analog front-end components. For applications such as switches, MEMS devices have started to exhibit extremely good performance. So, these three techniques and their combination will produce next-generation analog front-end components in the near future, and accelerate the use of software defined radio and/or cognitive radio systems.

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## Appendix A

## List of Papers by Author

## A. 1 Transaction Papers

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